Modified Progressive Strategy for Multiple Proteins Sequence Alignment

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Abstract— One of the important research topics of bioinformatics is the Multiple proteins sequence alignment. Since the exact methods for MSA have exponential time complexity, the heuristic approaches and the progressive alignment are the most commonly used in multiple sequences alignments. In this paper, we propose a modified progressive alignment strategy. Choosing and merging the most closely sequences is one of the important steps of the progressive alignment strategy. This depends on the similarity between the sequences. To measure that similarity we need to define a distance. In this paper, we construct a distance matrix. The elements of a row of this matrix correspond to the distance between a sequence to other sequences. A guide tree is built using the distance matrix. For each sequence we define a descriptor which is called also feature vector. The elements of the distance matrix are calculated based on the distance between the descriptors of the sequences. The descriptor reduces the dimension of the sequence then yields to a faster calculation of distance matrix and also to obtain preliminary distance matrix without pairwise alignment in the first step. The principle contribution in this paper is the modification of the first step of the basic progressive alignment strategy ie the computation of the distance matrix which yields to a new guide tree. Such guide tree is simple to implement and gives good result's performance. A comparison between the results got from the proposed strategy and from the ClastalW over the database BALIBASE 3.0 is analyzed and reported. The Results of our testing in all dataset show that the proposed strategy is as good as Clustalw in most cases.

Keywords— Proteins sequences; Alignment; Progressive methods; Sequence descriptor; Computational molecular biology.

I. INTRODUCTION

Multiple sequence alignment (MSA) of DNA, RNA and proteins sequences is one of the most common and important tasks in Bioinformatics. It is one of the most important and challenging task in computational biology because the time complexity for solving MSA grows exponentially with the size of the considered problem see [1] for an overview on existing multiple alignment approaches. Finding the optimal alignment of given sequences is known as a nondeterministic polynomial-time (NP)-complete problem [2]. The solution of MSA using dynamic programming requires O((2m)n) time complexity (n is the number of sequences, and m is the average sequence length) and O(m n) memory complexity [3-5]. Therefore, carrying out MSA by dynamic programming (DP) becomes practically intractable as the number of sequences increases. Multiple alignment methods can be divided into two main categories: methods aligning sequences over their entire length (global) and methods aligning regions of only high similarity (local). In this paper we focus in global alignment.

The fact that the MSA problem is of high complexity has led to the development of different algorithms. In addition, the MSA of proteins sequences offers important tools in studying proteins. This is very useful in designing experiments to test and modify the function of specific proteins, in predicting the function and structure of proteins, and in identifying new members of protein families.

The search for the best possible alignment for a set of sequences is not trivial. Finding a global optimal alignment of more than two sequences that include matches, mismatches, and gaps and that take into account the degree of variation in all sequences at the same time is especially difficult. The DP algorithm is used to obtain optimal alignment of a pair of sequences and can be extended to global alignment of three sequences, but for more than three sequences, only a small number of relatively short sequences may be treated.

One of the most widely used heuristic search for multiple sequence alignments is known as progressive technique (also known as tree method). It combines pairwise alignments beginning with the most similar pair and progressing to the most distantly related, which finally builds up a MSA solution. The basic progressive alignment strategy is summarized in the following (see fig 1):

1. Compute D, a matrix of distances between all pairs of sequences
2. From D, construct a “guide tree” T
3. Construct MSA by pairwise alignment of partial alignments (“profiles”) guided by T.

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Progressive alignments solution cannot be globally optimal. Firstly, the main problem is that any error made at any stage in building the MSA, this error is propagated through to the final result.

Secondly, the performance is also particularly bad when all of the sequences in the set are rather distantly related. Progressive alignment methods are efficient enough to implement on a large scale for many (100s to 1000s) sequences. The most popular progressive alignment method has been implemented in the Clustal family [13], especially the weighted variant ClustalW [14]. Some early works on multiple sequence alignment can be found on [15-27].

The guide tree in the basic progressive strategy is determined by an efficient clustering method such as neighbor-joining, or un-weighted average distance (UPGMA).

In this paper we proposed a measurement of the similarity between the sequences, which play an important role in the building of the guide tree, then in the performance of the quality of the MSA solution. The measurement of the similarity between the sequences is based on their descriptors which will be described in section 4.

The similarity is defined by a new distance between the sequences. For each sequence we define a descriptor which is called also Sequence Feature Vector (SFV). The elements of the distance matrix are calculated based on the distance between the descriptors of the sequences. The descriptor reduces the dimension of the sequence then yields to a faster calculation of distance matrix and also to obtain preliminary distance matrix without pairwise alignment in the first step.

Our proposed algorithm consists of 3 phases similar to Clustalw. The only different part from Clustalw is how to build distance matrix (see fig 2). The 3 phases are: a) building the Distance Matrix b) calculating the guide tree from the distance matrix using a neighbor joining algorithm [6], and c) processing the progressive alignment. The guide tree defines the order in which the sequences are aligned in the next stage.

There are several methods for building trees, including distance matrix methods and parsimony methods. In this paper, we are using 'neighbour-joining' and un-weighted average methods as distance matrix approach.

The sequences are progressively aligned following the guide tree.

The rest of the paper is organized as follows: In the next section, the description of multiple protein sequence alignment is presented. Section 3 will briefly review the existent optimization algorithms and section 4 shows a proposed distance base on a similarities descriptor of the sequences. Our algorithm called GEneral Methodology of Progressive Alignments (GEMPA) is decrypted in Section 5 with illustration by examples. The data set and results are discussed in section 6. Finally, concluding remarks and further research to be developed are presented.
II. PROTEINS SEQUENCES ALIGNMENTS

Let \( S = \{ S_1, S_2, \ldots, S_n \} \) be the input sequences and assume that \( n \) is at least 2. Let \( \Sigma \) be the input alphabet that form the sequences; we assume that \( \Sigma \) does not contain the character ‘-‘, which can be used to denote a gap in the alignment. A set \( S’ = \{ S_1’, S_2’, \ldots, S_n’ \} \) of sequences over the alphabet \( \Sigma’ = \Sigma \cup \{-\} \), is called an alignment of \( S \) if the following two properties satisfied:

1. The strings in \( S’ \) have the same length.
2. Ignoring gaps, sequence \( S_i’ \) is identical with sequences \( S_j’ \).

An alignment can be interpreted as an array with \( n \) rows and \( m \) columns, one row for each \( S_i’ \). Two letters of distinct strings are called aligned under \( S \) if they are placed into the same column. See Figure (1) with three proteins sequences.

\[
AP = \begin{bmatrix}
A & R & N & - & D & C & Q & E & G & H & I & L & M & F & W & T & W & Y & V \\
- & R & N & D & C & Q & E & G & H & I & L & M & F & S & T & W & Y & V \\
A & R & N & - & D & C & Q & E & G & H & I & L & M & F & S & T & W & Y & V
\end{bmatrix}
\]

Fig. 3: Example of multiple alignments of three proteins sequences

III. EXISTENT OPTIMIZATION ALGORITHMS

There exist three categories of the optimization algorithms for multiple alignment [7]: exact, progressive and iterative. Numerous MSA programs have been applied using many techniques and algorithms. Most commonly used techniques are progressive and iterative techniques. The exact method [1,8] suffers from inexact sequence alignment. Most progressive alignment methods heavily rely on dynamic programming to perform multiple alignments starting with the most related sequences and then progressively adding fewer related sequences to the initial alignment. The existence of several progressive programs has broadened up the aligning techniques. This approach has the advantages of speed and simplicity [7]. They have the advantage of being fast and simple as well as reasonably sensitive. The main drawback is the 'local minimum' problem that stems from the greedy nature of the algorithm. Also the major problem with progressive alignment method is the errors in the initial alignments are the most closely related sequences propagated to the multiple alignments [7]. Algorithms that construct multiple sequence alignment require a cost function as a criterion for constructing an optimal alignment. We are using Gonnet Matrix as a cost function [10].

In this paper, we interested on the progressive technique improvement by proposing a new guide tree based on new distance definition.

IV. DISTANCE USING SIMILARITY DESCRIPTOR

In this section, we define a descriptor for each sequence which is used to build the SVF. Over the SVF the distance between the sequences is then defined. The descriptor is defined as follows:

\[
f : \Pr S \rightarrow \mathbb{R}^n, f(s) = D_{sq}.
\]

Where \( \Pr S \) is the set of proteins sequences. The proteins Alphabets = \{ A R N D C Q E G H I L K M F P S T W Y V \} are twenty letters. Firstly, we describe the Feature Vector for each Sequence in the proteins and used the Euclidean distance to find the distance between the two features vectors of the two sequences \( S_{x}, S_{y} \). Each SVF of a protein sequence has a length of sixty shown below:

\[
D_{sq} = \{ N_{x}^i ; T_{i}^j ; D_{i}^k ; \text{ with } i = A, R, N, D, C, Q, E, G, H, I, L, K, M, F, P, S, T, W, Y, V \} \quad \text{Where: } N_{x}, N_{y}, N_{n}, \ldots \quad \text{and } N_{v} \text{ is the number of } A, R, N, \ldots \ldots \ldots \text{ and Vs in the sequence respectively. } T_{x}, T_{y}, T_{n}, \ldots, \text{ and } T_{v} \text{ are defined by:}
\]

\[
T_{i} = \sum_{j=1}^{N_{i}} t_{j} \quad (1)
\]

For an amino acids \( i \) (i = A, R, N, D, C, Q, E, G, H, I, L, K, M, F, P, S, T, W, Y, V); \( t_{j} \) is the distance from the first amino acids to the same \( j^{th} \) amino acids. The parameter’s \( D_{A}, D_{R}, D_{N}, \ldots, \text{ and } D_{V} \) are defined as follows:

\[
D_{i} = \sum_{j=1}^{N_{i}} \left( t_{j} - \mu_{j} \right)^{2} \text{ where } \mu_{j} = \frac{T_{j}}{N_{j}} \quad (2)
\]

Where \( D_{i} \) is the scatter of amino acids \( i \) within the protein sequence. Between two proteins sequences the fact that the first two parameters are close does not mean that they have a high similarity, unless they have a close distribution. For this reason this new feature parameter \( D_{i} \) is added. However, a combined feature vector that contains these three sets of feature parameters could be used to characterize the similarity between proteins sequences. The SVF can be used as a numerical measure of similarity in different proteins sequences.

In order to measure the similarity and difference between proteins sequences, for each sequence we find SVF that represents it. Firstly to reduces the dimension of the sequences. Secondly to use it to measure the distance between the sequence and the others sequences. This is summarizes in two steps:

- Define the descriptor for each sequence (Sequence Feature Vector).
- Build the guide tree based on the distance defined by the descriptor.

Two proteins sequences are considered similar when the distance between their two feature vectors is small. The distance of two feature vectors is defined as follows:

\[
L = \sqrt{\sum_{i=1}^{V} \sum_{j=1}^{N} \left( j_{i} - j_{j} \right)^{2}} \quad \text{where } i = A, R, N, \ldots \ldots \text{ and } j = N, T, D \quad (3)
\]
Note that this distance can be used to the DNA sequences by changing only the set of alphabet.

V. GENERAL METHODOLOGY OF PROGRESSIVE ALIGNMENTS

We briefly describe the General Methodology of Progressive Alignments (GEMPA) as following (see fig. 2):

1. Read the set of proteins sequences
2. Construct the distance between all sequences. (Distance Matrix)
3. Build the phylogenetic tree using distance matrix Methods
4. Apply the progressive alignment methods with phylogenetic tree.
5. Output the resulting sequence alignment.

Now we will illustrate the GEMPA using two examples, 4 and 9 proteins sequences with minimum length of 390, 385 and maximum length of 456, 457 respectively. First, we calculate the distance matrix, second we build the phylogenetic tree. The guide trees are built using the proposed distance (section 4) for the first and the pairwise distance for the second. We implemented the two guide trees using Matlab functions as following:

TreePW = seqlinkage (DistancePW,'single',seqs), where seqlinkage is a matlab function, that implements Neighbor-joining algorithm. And, DistancePW = seqpdist (seqs,'ScoringMatrix','pam250'), where seqs are the proteins sequences.

TreePro = seqlinkage (PDM,'single',seqs), where PDM is the proposed distance matrix (Figs 4,5).
Example 1:

Fig. 4: TreePW and TreePro
The Scoring Value of the solution alignments using Gonnet matrix is 144.7000 for Distance PW, and is 464.0000 for the PDM.

Example 2:

Fig. 5: TreePW and TreePro
The Scoring Value of the solution alignments using Gonnet matrix is $2.2277 \times 10^3$ for Pairwise distance matrix, and is $2.4690 \times 10^3$ for the proposed distance matrix.

VI. RESULTS AND DISCUSSIONS

We used the protein database BALiBASE 3.0 for testing our strategy performance. The information concerning the data set taken from the database is summarized as following:
Reference 1: Equi-distant sequences with 2 different levels of conservation.
Reference 2: Families aligned with a highly divergent "orphan" sequence.
RV11: Reference 1, very divergent sequences (20 identities).
RV12: Reference 1, medium divergent sequences (20-40 identity).
RV20: Reference 2. See[9-12].

The progressive algorithm appears to have the best performance in various research papers. It was implemented by multialign in Matlab function with the following options:

To compare the solutions alignments given by our progressive strategy, which is implemented as following:

\[
\text{Pro}=\text{multialign(seqs,TreePro,'ScoringMatrix','pam150','pam200','pam250')};
\]

where TreePW and TreePro are Phylogentic guide trees that are built using pairwise distance and proposed distance matrix respectively. PW and Pro are alignments solutions obtained using Phylogentic TreePW, and Phylogentic TreePro respectively. Note that the Gonnet scoring matrix is used to measure the two alignments solutions PW and Pro. Figs 6-8 give the comparison between PW and Pro (Solution Alignment Scoring Value) of different examples over the datasets RV11, RV12, and RV20 using single method and gonnet’s substitution matrix.

Table I summarizes the set of figures attached in the appendix for the results of the ClastalW and our strategy using two different methods single and average to build the guide tree and different substitution matrices Gonnet, Pam150, Pam200, and Pam250 over the data set RV11.
Table I: summary of figures for different methods and substitution matrices

<table>
<thead>
<tr>
<th>Substitution Matrix</th>
<th>Method</th>
<th>Single</th>
<th>Average</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gonnet</td>
<td>Fig. 9</td>
<td>Fig. 13</td>
<td></td>
</tr>
<tr>
<td>Pam150</td>
<td>Fig. 10</td>
<td>Fig. 14</td>
<td></td>
</tr>
<tr>
<td>Pam200</td>
<td>Fig. 11</td>
<td>Fig. 15</td>
<td></td>
</tr>
<tr>
<td>Pam250</td>
<td>Fig. 12</td>
<td>Fig. 16</td>
<td></td>
</tr>
</tbody>
</table>

The obtained results show that for the single method over data set RV11 our strategy is as good as ClastalW in 82% of the examples. Over the data set RV12 and RV20 our strategy is similar than ClastalW. However, using the average method the performance of our strategy is better than ClastalW in some examples and similar over the rest.

VII. CONCLUSIONS

We propose a modified progressive alignment strategy based on a modified distance matrix which is built using defined sequences’ descriptors also called Sequence Feature Vector (SFV). Firstly to reduce the dimension of the sequences. Secondly to use it to measure the distance between the sequence and the others sequences. This can be summarized into two steps:
- Define the descriptor for each sequence (Sequence Feature Vector).
- Build the guide tree based on the distance defined by the descriptor.

The SFV is built using a similarity descriptor of the sequence. It is simple to implement, and gives good results performance. The comparison between the proposed strategy and ClastalW is analyzed and the obtained solution qualities are reported. The results of our testing on all the dataset show that the proposed strategy obtains good quality solutions. The obtained solutions using the proposed strategy are as good as those obtained by ClastalW.
APPENDIX

Fig. 9: Performance using Single method and Gonnet matrix

Fig. 10: Performance using Single method with Pam150

Fig. 11: Performance using Single method with Pam200
Fig. 12: Performance using Single method with Pam250

Fig. 13: Performance using Average method with gonnet matrix

Figure 14: Performance using Average method with Pam150
REFERENCES


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