

# Spectral Equivalence of $\mathcal{A}_S$ -Scalar Operators. $\mathcal{A}_S$ -Decomposable and $\mathcal{A}_S$ -Spectral Operators

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**Abstract:** This paper is dedicated to the study of some properties of the operators which admit residually non-analytic functional calculus initiated in [16]. We shall also define and study the spectral  $s$ -capacities, and give several  $s$ -decomposability criteria. We shall further study the restrictions and the  $S$ -decomposable operators' quotients.

The concepts of  $\mathcal{A}_S$ -spectral function, respectively  $\mathcal{A}_S$ -decomposable and  $\mathcal{A}_S$ -spectral operators are introduced and characterized here and several elementary properties concerning them are studied. These operators are natural generalizations of the notions of  $\mathcal{A}$ -scalar,  $\mathcal{A}$ -decomposable and  $\mathcal{A}$ -spectral operators studied in [8] and appear, in generally, as restrictions or quotients of the last one.

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## 1. INTRODUCTION

Let  $X$  be a Banach space, let  $\mathbf{B}(X)$  be the algebra of all linear bounded operators on  $X$  and let  $\mathbb{C}$  be the complex plane. If  $T \in \mathbf{B}(X)$  and  $Y \subset X$  is a (closed) invariant subspace to  $T$ , let us denote by  $T|Y$  the restriction of  $T$  to  $Y$ , respectively by  $\dot{T}$  the operator induced by  $T$  in the quotient space  $\dot{X} = X/Y$ . In what follows, by subspace of  $X$  we understand a closed linear manifold of  $X$ . Recall that  $Y$  is a *spectral maximal space* of  $T$  if it is an invariant subspace such that for any other subspace  $Z \subset X$  also invariant to  $T$ , the inclusion  $\sigma(T|Z) \subset \sigma(T|Y)$  implies  $Z \subset Y$  ([8]). A family of open sets  $G_S \cup \{G_i\}_{i=1}^n$  is an  $S$ -covering of the closed set

$\sigma \subset \mathbb{C}$  if  $G_S \cup \left( \bigcup_{i=1}^n G_i \right) \supset \sigma \cup S$  and  $\bar{G}_i \cap S = \emptyset$  ( $i = 1, 2, \dots, n$ ) (where  $S \subset \mathbb{C}$  is also closed) ([14]).

The operator  $T \in \mathbf{B}(X)$  is  $S$ -decomposable (where  $S \subset \sigma(T)$  is compact) if for any finite open  $S$ -covering  $G_S \cup \{G_i\}_{i=1}^n$  of  $\sigma(T)$ , there is a system  $Y_S \cup \{Y_i\}_{i=1}^n$  of spectral maximal spaces of  $T$  such that  $\sigma(T|Y_S) \subset G_S$ ,

$\sigma(T|Y_i) \subset G_i$  ( $i = 1, 2, \dots, n$ ) and  $X = Y_S + \sum_{i=1}^n Y_i$

([4]). If  $\dim S = 0$ , then  $S = \emptyset$  and  $T$  is decomposable ([8]). An open set  $\Omega \subset \mathbb{C}$  is said to be a *set of analytic uniqueness* for  $T \in \mathbf{B}(X)$  if for any open set  $\omega \subset \Omega$  and any analytic function  $f_0: \omega \rightarrow X$  satisfying the equation  $(\lambda I - T)f_0(\lambda) \equiv 0$  it follows that  $f_0(\lambda) \equiv 0$  in  $\omega$  ([14]). For  $T \in \mathbf{B}(X)$  there is a unique maximal open set  $\Omega_T$  of analytic uniqueness ([14]). We shall denote by  $S_T = \mathbb{C} \setminus \Omega_T$  and call it *the analytic spectral residuum* of  $T$ .

For  $x \in X$ , a point  $\lambda$  is in  $\delta_T(x)$  if in a neighborhood  $V_\lambda$  of  $\lambda$ , there is at least an analytic  $X$ -valued function  $f_x$  (called  *$T$ -associated to  $x$* ) such that  $(\mu I - T)f_x(\mu) \equiv x$ , for  $\mu \in V_\lambda$ . We shall put

$$\begin{aligned} \gamma_T(x) &= \mathbb{C} \setminus \delta_T(x) = \mathbb{C} \setminus \delta_T(x), \quad \rho_T(x) = \delta_T(x) \cap \Omega_T \\ \sigma_T(x) &= \mathbb{C} \setminus \rho_T(x) = \mathbb{C} \setminus \rho_T(x) = \gamma_T(x) \cup S_T \quad \text{and} \\ X_T(F) &= \{x \in X; \sigma_T(x) \subset F\} \end{aligned}$$

where  $S_T \subset F \subset \mathbb{C}$  ([14], [15]).

An operator  $T \in \mathbf{B}(X)$  is said to have *the single-valued extension property* if for any analytic function  $f: \omega \rightarrow X$  (where  $\omega \subset \mathbb{C}$  is an open set), with  $(\lambda I - T)f(\lambda) = 0$ , it follows that  $f(\lambda) \equiv 0$  ([10]).  $T$  has the single-valued extension property if and only if  $S_T = \emptyset$ ; then we have  $\sigma_T(x) = \gamma_T(x)$  and there is in  $\rho_T(x) = \delta_T(x)$  a unique analytic function  $x(\lambda)$ ,  $T$ -associated to  $x$ , for any  $x \in X$ . We shall recall that if  $T \in \mathbf{B}(X)$ ,  $S_T \neq \emptyset$ ,  $S_T \subset F$  and  $X_T(F)$  is closed, for  $F \subset \mathbb{C}$  closed, then  $X_T(F)$  is a spectral maximal space of  $T$  ([14]).

We say that two operators  $T_1, T_2 \in \mathbf{B}(X)$  are *quasinilpotent equivalent* if

$$\lim_{n \rightarrow \infty} \left\| (T_1 - T_2)^{[n]} \right\|^{1/n} = \lim_{n \rightarrow \infty} \left\| (T_2 - T_1)^{[n]} \right\|^{1/n} = 0$$

where

$$(T_1 - T_2)^{[n]} = \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} T_1^k T_2^{n-k} \quad ([8]).$$

**Definition 1.1.** ([16]) Let  $\Omega$  be a set of the complex plane  $\mathbb{C}$  and let  $S \subset \overline{\Omega}$  be a compact subset. An algebra  $\mathcal{A}_S$  of  $\mathbb{C}$ -valued functions defined on  $\Omega$  is called *S-normal* if for any finite open  $S$ -covering  $G_S \cup \{G_i\}_{i=1}^n$  of  $\overline{\Omega}$ , there are the functions,  $f_S, f_i \in \mathcal{A}_S$  ( $1 \leq i \leq n$ ) such that:

- 1)  $f_S(\Omega) \subset [0, 1], f_i(\Omega) \subset [0, 1]$   
( $1 \leq i \leq n$ );
- 2)  $\text{supp}(f_S) \subset G_S, \text{supp}(f_i) \subset G_i$   
( $1 \leq i \leq n$ );

$$3) f_S + \sum_{i=1}^n f_i = 1 \text{ on } \Omega$$

where *the support* of  $f \in \mathcal{A}_S$  is defined as:  
 $\text{supp}(f) = \overline{\{\mu \in \Omega; f(\mu) \neq 0\}}$ .

**Definition 1.2.** ([16]) An algebra  $\mathcal{A}_S$  of  $\mathbb{C}$ -valued functions defined on  $\Omega$  is called *S-admissible* if:

- 1)  $\lambda \in \mathcal{A}_S, 1 \in \mathcal{A}_S$  (where  $\lambda$  and  $1$  denote the functions  $f(\lambda) = \lambda$  and  $f(\lambda) = 1$ );

2)  $\mathcal{A}_S$  is *S-normal*;

3) for any  $f \in \mathcal{A}_S$  and any  $\xi \notin \text{supp}(f)$ , the function

$$f_\xi(\lambda) = \begin{cases} \frac{f(\lambda)}{\xi - \lambda}, & \text{for } \lambda \in \Omega \setminus \{\xi\} \\ 0, & \text{for } \lambda \in \Omega \cap \{\xi\} \end{cases}$$

belongs to  $\mathcal{A}_S$ .

**Definition 1.3.** ([16]) An operator  $T \in \mathbf{B}(X)$  is said to be  *$\mathcal{A}_S$ -scalar* if there are an *S-admissible algebra*  $\mathcal{A}_S$  and an algebraic homomorphism  $U: \mathcal{A}_S \rightarrow \mathbf{B}(X)$  such that  $U_1 = I$  and  $U_\lambda = T$  (where  $1$  is the function  $f(\lambda) = 1$  and  $\lambda$  is the function  $f(\lambda) = \lambda$ ). The mapping  $U$  is called  *$\mathcal{A}_S$ -spectral homomorphism* ( *$\mathcal{A}_S$ -spectral function* or  *$\mathcal{A}_S$ -functional calculus*) for  $T$ .

If  $S = \emptyset$ , then we put  $\mathcal{A} = \mathcal{A}_\emptyset$  and we obtain an  *$\mathcal{A}$ -spectral function* and an  *$\mathcal{A}$ -scalar operator* ([8]).

*The support* of an  *$\mathcal{A}_S$ -spectral function*  $U$  is denoted by  $\text{supp}(U)$  and it is defined as the smallest closed set  $F \subset \overline{\Omega}$  such that  $U_f = 0$  for  $f \in \mathcal{A}_S$  with  $\text{supp}(f) \cap F = \emptyset$ .

A subspace  $Y$  of  $X$  is said to be *invariant with respect to an  $\mathcal{A}_S$ -spectral function*  $U: \mathcal{A}_S \rightarrow \mathbf{B}(X)$  if  $U_f Y \subseteq Y$ , for any  $f \in \mathcal{A}_S$ .

We recall several important properties of an  *$\mathcal{A}$ -spectral function*  $U$  ([8]), because we want to obtain similar properties for an  *$\mathcal{A}_S$ -spectral function*:

1.  $U_\lambda$  has the single-valued extension property, where  $\lambda$  is the identical function  $f(\lambda) \equiv \lambda$ ;
2.  $\sigma_{U_\lambda}(U_f x) \subset \text{supp}(f)$ , for any  $f \in \mathcal{A}$  and  $x \in X$ ;
3. If  $\sigma_{U_\lambda}(x) \cap \text{supp}(f) = \emptyset$ , then  $U_f(x) = 0$ , for any  $f \in \mathcal{A}$  and  $x \in X$ ;
4.  $x \in X_{U_\lambda}(F) = \{x \in X; \sigma_{U_\lambda}(x) \subset F\}$

$\Leftrightarrow U_f(x) = 0$ , for any  $f \in \mathcal{A}$  with property  $\text{supp}(f) \cap F = \emptyset$ ,  $F \subset \Omega$  closed;

5.  $\text{supp}(U) = \sigma(U_\lambda)$ ;

6.  $U_\lambda$  is decomposable.

**Theorem 1.4.** Let  $T \in \mathbf{B}(X)$  be an  $\mathcal{A}_S$ -scalar operator and let  $U$  be an  $\mathcal{A}_S$ -spectral function for  $T$ . Then we have:

$\text{supp}(U) \subset \sigma(T) \cup S$  and  $\sigma(T) \subset \text{supp}(U) \cup S$ .

*Proof.* Let us consider  $f \in \mathcal{A}_S$  such that  $\text{supp}(f) \cap (\sigma(T) \cup S) = \emptyset$ . If  $\xi \notin \text{supp}(f)$  and  $\lambda$

is the identical function  $f(\lambda) = \lambda$ , then we have

$$(\xi I - U_\lambda)U_{f_\xi} = U_{(\xi - \lambda)f_\xi} = U_f$$

hence

$$U_{f_\xi} = \mathfrak{R}(\xi, U_\lambda)U_f, \text{ for}$$

$$\xi \in \rho(U_\lambda) \cap \mathbb{C} \setminus \text{supp}(f).$$

The function

$$F(\xi) = \begin{cases} \mathfrak{R}(\xi, T)U_f, & \text{for } \xi \in \rho(U_\lambda) \\ U_{f_\xi}, & \text{for } \xi \in \mathbb{C} \setminus \text{supp}(f) \end{cases}$$

is entire and  $\lim_{|\xi| \rightarrow \infty} \|F(\xi)\| = 0$ , therefore  $F \equiv 0$ .

It follows that  $U_{f_\xi} = 0$  on  $\mathbb{C} \setminus \text{supp}(f)$  and  $U_f = 0$ , hence

$$\text{supp}(U) \subset \sigma(T) \cup S.$$

Let now  $\xi_0 \notin \text{supp}(U) \cup S$ , let  $V_{\xi_0}$  be an open neighborhood of  $\xi_0$  and let  $W$  be an open neighborhood of  $\text{supp}(U) \cup S$  such that  $V_{\xi_0} \cap W = \emptyset$ . Because the algebra  $\mathcal{A}_S$  is  $S$ -normal, then there is a function  $f \in \mathcal{A}_S$  with  $f(\mu) = 1$  on  $W$  and  $f(\mu) = 0$  for  $\mu \in V_{\xi_0}$ . Consequently

$$\text{supp}(1-f) \cap (\text{supp}(U) \cup S) = \emptyset$$

hence

$$U_{1-f} = 0, \text{ i.e. } U_f = I.$$

Whence

$$U_{f_{\xi_0}}(\xi_0 I - U_\lambda) = (\xi_0 I - U_\lambda)U_{f_{\xi_0}} = U_f = I$$

therefore we finally have  $\xi_0 \notin \sigma(U_\lambda) = \sigma(T)$  and hence  $\sigma(T) \subset \text{supp}(U) \cup S$ .

**Theorem 1.5.** (Properties of  $\mathcal{A}_S$ -spectral functions)

Let  $U$  be an  $\mathcal{A}_S$ -spectral function (particularly,  $U$  is an  $\mathcal{A}_S$ -spectral function for an  $\mathcal{A}_S$ -scalar operator  $T \in \mathbf{B}(X)$ ,  $T = U_\lambda$ ). Then we have the following properties:

(1) The spectral analytic residuum  $S_T$  has the property:  $S_T \subset S$ ; when  $S_T = \emptyset$  (particularly,  $S = \emptyset$ ), then  $T$  has the single-valued extension property;

(2) If  $(\lambda_0 I - U_\lambda)x_0 = 0$ , with  $x_0 \neq 0$  and  $f \in \mathcal{A}_S$  with  $f(\lambda) = c$ , for  $\lambda \in G \cap \Omega$ , where  $G$  is a neighborhood of  $\lambda_0$ , then  $U_f x_0 = c x_0$ ;

(3) If  $f \in \mathcal{A}_S$  and  $x \in X$ , then  $\gamma_T(U_f x) \subset \text{supp}(f)$ ; moreover, if  $\text{supp}(f) \supset S$ , then  $\sigma_T(U_f x) \subset \text{supp}(f)$ ;

(4) If  $f \in \mathcal{A}_S$  such that  $\sigma_{U_\lambda}(x) \cap \text{supp}(f) = \emptyset$  and  $S_T = \emptyset$ , then  $U_f x = 0$ ;

(5) If  $F \subset \Omega$  closed, with  $F \supset S$ ,  $x \in X$  and  $S_T = \emptyset$ , then  $x \in X_{U_\lambda}(F)$  if and only if  $U_f x = 0$ , for any  $f \in \mathcal{A}_S$  with the property  $\text{supp}(f) \cap F = \emptyset$ ;

(6)  $U_\lambda$  is  $S$ -decomposable.

*Proof.* The assertions (1) and (2) are proved in [16], Theorem 3.2, respectively Lemma 3.1.

(3) We observe that for any  $\xi \notin \text{supp}(f)$  we have  $f_\xi \in \mathcal{A}_S$  and the  $X$ -valued function  $\xi \rightarrow U_{f_\xi} x$  is analytic. Consequently,

$$(\xi I - T)U_{f_\xi} x = (\xi I - U_\lambda)U_{f_\xi} x = U_f x,$$

therefore  $\xi \in \delta_T(U_f x)$ , hence

$$\gamma_T(U_f x) \subset \text{supp}(f).$$

Furthermore, for  $f \in \mathcal{A}_S$  with  $\text{supp}(f) \supset S$ , we deduce

$$\begin{aligned}\sigma_T(U_f x) &= S_T \cup \gamma_T(U_f x) \\ &\subset S \cup \gamma_T(U_f x) \subset \text{supp}(f).\end{aligned}$$

(4) Let  $x(\xi)$  be the unique analytic  $X$ -valued function defined on  $\rho_{U_\lambda}(x)$  which satisfies the equality

$$(\xi I - U_\lambda)x(\xi) = x \text{ on } \rho_{U_\lambda}(x).$$

It results that

$$\begin{aligned}(\xi I - U_\lambda)U_f x(\xi) &= U_f(\xi I - U_\lambda)x(\xi) = U_f x \\ &\text{on } \rho_{U_\lambda}(x)\end{aligned}$$

hence the following inclusions are obtained

$$\begin{aligned}\rho_{U_\lambda}(x) &\subset \rho_{U_\lambda}(U_f x) \text{ and} \\ \sigma_{U_\lambda}(U_f x) &\subset \sigma_{U_\lambda}(x).\end{aligned}$$

From assertion (3),

$$\begin{aligned}\sigma_{U_\lambda}(U_f x) &= \sigma_T(U_f x) = S_T \cup \gamma_T(U_f x) \\ &= \gamma_T(U_f x) \subset \text{supp}(f),\end{aligned}$$

hence

$$\sigma_{U_\lambda}(U_f x) \subset \text{supp}(f) \cap \sigma_{U_\lambda}(x) = \emptyset,$$

therefore according to Proposition 1.1.2, [8], it follows that  $U_f x = 0$ .

The property (5) can be obtained by using (4), as in the proof of Proposition 3.1.17, [8] and will be omitted.

The proof of (6) is presented in [14], Theorem 3.3.

**Lemma 1.6.** *Let  $U$  be an  $\mathcal{A}_S$ -spectral function.*

*If  $G_1$  is an open neighborhood of  $\text{supp}(U)$ ,*

*$G_1 \supset \text{supp}(U)$  and  $G_2$  is an open set such that*

*$G_1 \cup G_2 \supset \overline{\Omega}$ ,  $G_2 \cap \text{supp}(U) = \emptyset$  (i.e.  $\{G_1, G_2\}$*

*is an open covering of  $\overline{\Omega}$ ), then by  $S$ -normality of the algebra  $\mathcal{A}_S$  it results that there are two functions  $f_1, f_2 \in \mathcal{A}_S$  such that:*

$$0 \leq f_1(\lambda) \leq 1, 0 \leq f_2(\lambda) \leq 1, \lambda \in \Omega,$$

$$\text{supp}(f_1) \subset G_1, \text{supp}(f_2) \subset G_2 \text{ and}$$

$$f_1 + f_2 = 1 \text{ on } \Omega.$$

*With these conditions we have:*

$$\text{a) } U_{f_1} = I, U_{f_2} = 0$$

*b) For  $f \in \mathcal{A}_S$  having the property that  $f = 1$  on a neighborhood of  $\text{supp}(U)$ , it results that  $U_f = I$ .*

*Proof.* We have

$$\text{supp}(1 - f_1) = \text{supp}(f_2) \subset G_2$$

$$\text{supp}(1 - f_1) \cap \text{supp}(U) = \emptyset$$

hence

$$0 = U_{1-f_1} = U_1 - U_{f_1}$$

therefore

$$U_{f_1} = U_1 = I \text{ and } U_{f_2} = 0.$$

Moreover, for  $f \in \mathcal{A}_S$  with the property that  $f = 1$  on a neighborhood of  $\text{supp}(U)$  we have

$$U_f = I$$

because it can be chosen in this case:  $f_1 = f, f_2 = g$ , with  $\text{supp}(g) \cap \text{supp}(U) = \emptyset$ , hence  $U_g = 0$  and accordingly

$$U_{f+g} = U_1 = I = U_f + U_g, \text{ whence } U_f = I.$$

**Remark 1.7.** From Lemma 1.6, it results that if  $f \in \mathcal{A}_S$  and  $f = 1$  in a neighborhood of

$\text{supp}(U)$ , then  $U_f = I$ . If we denote by

$\bigvee_{f \in \mathcal{A}_0} U_f Y$  the linear subspace of  $X$  generated

by  $U_f Y$ , where  $Y \subset X$  and  $\mathcal{A}_0$  is the set of all functions in  $\mathcal{A}_S$  with compact support, then we have:

$$\bigvee_{f \in \mathcal{A}_0} U_f X = X.$$

**Definition 1.8.** Let  $U$  be an  $\mathcal{A}_S$ -spectral

function. For any open set  $G \in \mathcal{G}_S$  we denote

$$X_{[U]}(G) = \bigvee_{\text{supp}(f) \subset G} U_f X$$

and for any closed set  $F \in \mathcal{F}_S$  we put

$$X_{[U]}(F) = \bigcap_{G \supset F} X_{[U]}(G).$$

where  $\mathcal{F}_S$  (respectively,  $\mathcal{G}_S$ ) is the family of all closed (respectively, open) subsets  $F \subset \mathbb{C}$  (respectively,  $G \subset \mathbb{C}$ ) having the property: either  $F \cap S = \emptyset$  or  $F \supset S$  (respectively,  $G \cap S = \emptyset$  or  $G \supset S$ ).

**Theorem 1.9.** *Let  $U$  be an  $\mathcal{A}_S$ -spectral function. Then*



**LEMMA 2.1.** Let  $T \in B(X)$  be a  $S$ -decomposable operator, and let  $G$  be an open set such that:

$$G \cap (\sigma(T) \setminus S) = \emptyset$$

then there exists a maximal spectral space  $Y \neq \{0\}$  of  $T$  such that  $\sigma(T|Y) \subset G$ . If  $\dim S \leq 1$  and  $G \cap \text{Int} \sigma(T) \neq \emptyset$  ( $G$  being an open set), then there exists a maximal spectral space  $Y \neq \{0\}$  of  $T$  such that  $\sigma(T|Y) \subset G$ .

*Proof.* Let  $G_S$  be an open set such that:

$$S \subset G_S \not\supset \sigma(T)$$

and

$$G_S \cup G \supset \sigma(T).$$

$T$  being  $S$ -decomposable, there exists a system of spectral maximal spaces  $Y_S, Y$  from  $T$  such that:

$$\sigma(T|Y_S) \subset G_S, \sigma(T|Y) \subset G$$

and

$$X = X_S + Y.$$

If  $Y = \{0\}$ , we have  $Y_S = X$  and  $\sigma(T|Y_S) = \sigma(T) \subset G_S$ , contradiction, hence  $Y \neq \{0\}$ . When  $\dim S \leq 1$  and  $G \cap \text{Int} \sigma(T) \neq \emptyset$  it follows that  $G \cap (\sigma(T|Y) \setminus S) \neq \emptyset$ , consequently  $Y \neq \{0\}$ .

**THEOREM 2.2.** If  $T \in B(X)$  is  $S$ -decomposable where  $\dim S \leq 1$ , then

$$\sigma_p^0(T) = \sigma_r^0(T) = \emptyset \text{ (see [8], Theorem 1.3.6),}$$

$T$  has the single-valued extension property ( $S_T = \emptyset$ ) and  $\sigma(T) = \sigma_l(T)$ . If  $S_T \neq \emptyset$ , then  $S_T \subset S$  and  $\dim S = 2$ .

*Proof.* If  $\sigma_p^0(T) = \emptyset$ , let  $G$  be a component of  $\sigma_p^0(T)$ . Then, by [37] Proposition 1.3.7, there doesn't exist any spectral maximal space  $Y \neq \{0\}$  of  $T$  such that

$$\sigma(T|Y) \subset G;$$

by the preceding lemma,  $G \cap \sigma(T) = \emptyset$ , therefore  $G \cap \sigma_p^0(T) = \emptyset$  which is impossible (since  $G \subset \sigma_p^0(T) \subset \text{Int} \sigma(T)$ ). Same for  $\sigma_r(T)$ .

Consequently

$$\sigma_p^0(T) = \sigma_r^0(T) = \emptyset$$

since  $S_T = \overline{\sigma_p^0(T)}$ , and  $\sigma_r^0(T) = \sigma(T) \setminus \sigma_l(T)$ , we have  $S_T = \emptyset$  (meaning that  $T$  has the single-valued extension property) and

$$\sigma(T) = \sigma_l(T).$$

Now let  $S_T \neq \emptyset$ . In order to verify the inclusion  $S_T \subset S$  it will suffice to verify that  $\sigma_p^0(T) \subset S$ . Suppose that  $\sigma_p^0(T) \not\subset S$ ; then there exists a component  $G_0$  of  $\sigma_p^0(T)$  such that:

$$G_0 \not\subset S \text{ and } G_0 \cap (\sigma(T) \setminus S) \neq \emptyset.$$

By the preceding lemma there follows that there exists a spectral maximal space  $Y_0$  of  $T$ ,  $Y_0 \neq \{0\}$  such that:

$$\sigma(T|Y_0) \subset G_0;$$

contradicts [8] Proposition 1.3.7, consequently  $S_T \subset S$ . But  $S_T \neq \emptyset$  implies  $\dim S = 2$  (we have  $\text{Int} S_T \neq \emptyset$ ) hence  $\text{Int} S \neq \emptyset$ .

**THEOREM 2.3.** Let  $T \in B(X)$  be a  $S$ -decomposable operator and let  $F \subset \mathbb{C}$  be a closed set such that

$$S \subset F \subset \sigma(T).$$

Then  $X_T(F)$  is a spectral maximal space of  $T$  and

$$\sigma(T|X_T(F)) \subset F.$$

Conversely, for any spectral maximal space  $Y$  of  $T$  such that  $\sigma(T|Y) \supset S$  we have

$$Y = X_T(\sigma(T|Y)).$$

*Proof.* Let  $F \subset \sigma(T)$  be closed such that  $S \subset F$  ( $S_T \subset S \subset F$ ) and let  $G_S, H$  be two open sets satisfying conditions  $G_S \supset F$ ,  $H \cap F = \emptyset$  and  $G_S \cup H \supset \sigma(T)$ . We shall consider

$$G_1 = G_S, G_2 = H.$$

Let  $\{Y_i\}_1^2$  be a corresponding system of spectral maximal spaces of  $T$  such that:

$$\sigma(T|Y_i) \subset G_i \quad (i=1,2)$$

and

$$X = Y_1 + Y_2.$$

If  $x \in X_T(F)$ , then  $x = y_1 + y_2$ ,  $y_i \in Y_i$  ( $i=1,2$ ) and  $\sigma_T(x) \subset F$ ; for  $\lambda \in \rho_T(x)$   $x(\lambda)$  has meaning and

$$(\lambda I - T)x(\lambda) = x$$

hence for  $\lambda \in \mathbb{C}F \cap \rho(T|Y_2)$  we have

$$(\lambda I - T)(R(\lambda, T|Y_2)y_2 - x(\lambda)) = y_2 - x = -y_1,$$

from which it follows that  $\lambda \in \rho_T(y_1)$ . But

$\lambda \notin S \supset S_T$ , consequently  $\lambda \in \delta_T(y_1) \cap$

$\cap \Omega_T = \rho_T(y_1)$  and from this it derives that

$$\sigma_T(y_1) \subset F \cup \sigma(T|Y_2) \subset F \cup \bar{G}_2$$

therefore

$$\mathbb{C}F \cap \mathbb{C}\bar{G}_2 \subset \rho_T(y_1).$$

Let now  $\Gamma$  be a bounded system of simple closed curves surrounding  $F$  and included in  $\mathbb{C}F \cap \mathbb{C}\bar{G}_2$ .

For  $\lambda \in \Gamma$  we have

$$y_1(\lambda) = -R(\lambda, T|Y_2)y_2 + x(\lambda), \text{ Hence}$$

$$\frac{1}{2\pi i} \int_{\Gamma} y_1(\lambda) \, d\lambda =$$

$$-\frac{1}{2\pi i} \int_{\Gamma} R(\lambda, T|Y_2)y_2 \, d\lambda + \frac{1}{2\pi i} \int_{\Gamma} x(\lambda) \, d\lambda.$$

The spectral maximal space  $Y_1$  of  $T$  being  $T$ -absorbing ([14], Proposition 3.1), if  $y_1 \in Y_1$ , then  $y_1(\lambda) \in Y_1$  for  $\lambda \in \rho_T(y_1)$  and since  $\sigma(T|Y_2)$  is "outside"  $\Gamma$  we obtain

$$\frac{1}{2\pi i} \int_{\Gamma} y_1(\lambda) \, d\lambda \in Y_1,$$

$$\frac{1}{2\pi i} \int_{\Gamma} R(\lambda, T|Y_2)y_2 \, d\lambda = 0.$$

Consequently

$$x = \frac{1}{2\pi i} \int_{|\lambda|=|T|+1} R(\lambda, T)x \, d\lambda = \frac{1}{2\pi i} \int_{\Gamma} x(\lambda) \, d\lambda,$$

$$= \frac{1}{2\pi i} \int_{\Gamma} y_1(\lambda) \, d\lambda \in Y_1$$

thus

$$X_T(F) \subset \bigcap_{G_1 \supset F} Y = Z.$$

By other means, if  $z \in Z$  then from the inclusions

$$\gamma_T(z) \subset \gamma_{T|Y_1}(z) \subset \sigma(T|Y_1) \subset G_1$$

it follows that

$$\sigma_T(z) = \gamma_T(z) \cup S_T \subset \bigcap_{G_1 \supset F} G_1 = F_1$$

hence  $z \in X_T(F)$  and  $Z \subset X_T(F)$ ; so we conclude that

$$X_T(F) = \bigcap_{G_1 \supset F} Y_1,$$

from where it follows that  $X_T(F)$  is closed. By [14] Proposition 3.4,  $X_T(F)$  is a spectral maximal space of  $T$  and  $\sigma(T|X_T(F)) \subset F$ . Conversely, if

$Y$  is a spectral maximal space of  $T$  such that  $\sigma(T|Y) \supset S$ , then according to those proved before we obtain that

$$\sigma(T|X_T(\sigma(T|Y))) \subset \sigma(T|Y)$$

hence

$$X_T(\sigma(T|Y)) \subset Y.$$

But from the evident inclusion  $Y \subset X_T(\sigma(T|Y))$  one finally obtains

$$Y = X_T(\sigma(T|Y)).$$

At this moment the theorem is completely proved. When  $T$  has the single-valued extension property ( $S_T = \emptyset$ ) we have the following

**COROLLARY 2.4.** *Let  $T \in B(X)$  a  $s$ -decomposable operator with  $S_T = \emptyset$  and let  $F \in \mathbb{C}$  be such that either  $S \cap F = \emptyset$  or  $F \supset S_1$  and  $F \cap (S \setminus S_1) = \emptyset$ , where  $S_1$  is a separated part of  $S$ . Then  $X_T(F)$  is a spectral maximal space of  $T$  and  $\sigma(T|X_T(F)) \subset F$ . Conversely, if  $Y$  is a spectral maximal space of  $T$  such that  $\sigma(T|Y) = F$  and  $F$  has one of the two properties above, then  $Y = X_T(\sigma(T|Y))$ .*

*Proof.* If  $F \cap S = \emptyset$  ( $F \subset \sigma(T)$  closed), by the preceding theorem  $X_T(S)$  and  $X_T(F \cup S)$  are spectral maximal spaces of  $T$  and

$$X_T(F \cup S) = X_T(F) + X_T(S),$$

whence it follows that  $X_T(F)$  is also a spectral maximal space for  $T$  (see [4], Proposition 4.9) and  $\sigma(T(X_T(F))) \subset F$ .

If

$$S = S_1 \cup (S \setminus S_1),$$

where  $S_1$  is a separated part of  $S$  and  $F \supset S_1$ ,  $F \cap (S \setminus S_1) = \emptyset$ , then

$$X_T(F \cup (S \setminus S_1)) = X_T(F) + X_T(S \setminus S_1);$$

therefore  $X_T(F)$  is again a spectral maximal space of  $T$ . The final part of the corollary results identically as in the preceding theorem namely from the evident inclusions  $Y \subset X_T(\sigma(T|Y))$  and  $\sigma(T|X_T(\sigma(T|Y))) \subset \sigma(T|Y)$ .

**PROPOSITION 2.5.** *Let  $T \in B(X)$  a  $S$ -decomposable operator and  $S_1$  a separated part of  $S$  with  $\dim S_1 = 0$ . Then  $T$  is  $S'$ -decomposable where  $S' = S \setminus S_1$ .*

*Proof.* The case  $S_T = \emptyset$  has been proved in Proposition 1.2.9. Keeping the notations from the Proposition 1.2.9 proof, we will obtain the spectral maximal spaces  $\{Y_S\} \cup \{Y'_i\}_1^n$  of  $T$  such that  $\sigma(T|Y_S) \subset G_S$ ,  $\sigma(T|Y'_i) \subset G'_i$  ( $i=1,2,\dots,n$ ) and

$$X = Y_S + Y'_1 + Y'_2 + \dots + Y'_n.$$

But  $Y_S = Y_{\sigma'} + Y_{\sigma_1} + Y_{\sigma_2} + \dots + Y_{\sigma_n}$ , where  $\sigma(T|Y_S) = \sigma' \cup \sigma_1 \cup \sigma_2 \cup \dots \cup \sigma_n$ ,  $\sigma(T|Y_{\sigma'}) = \sigma'$ ,  $\sigma(T|Y_{\sigma_i}) = \sigma_i$  ( $i=1,2,\dots,n$ ).  $Y_{\sigma'}$ ,  $Y_{\sigma_i}$  being spectral maximal spaces of  $T$ , and  $\sigma' \subset G_{S'}$ ,  $\sigma_i \subset G'_i \subset G_i$ .

Let  $\hat{\sigma}_i = \sigma_i \cup \sigma(T|Y'_i)$ . Since  $\hat{\sigma}_i \cap S' = \emptyset$ , we have  $X_T(S' \cup \hat{\sigma}_i) = X_T(S') + Y_{\hat{\sigma}_i}$ , where  $Y_{\hat{\sigma}_i}$  are spectral maximal spaces of  $T$ ,  $\sigma(T|Y_{\hat{\sigma}_i}) \subset \hat{\sigma}_i \subset G_i$  ( $i=1,2,\dots,n$ ). We have  $Y'_1 + Y_{\sigma_1} \subset Y_{\hat{\sigma}_1}$  and  $X_T(S') + Y_{\sigma'} \subset X_T(S' \cup \hat{\sigma}_1) = X_T(S') + Y_{\hat{\sigma}_1}$ , therefore  $X = Y_{S'} + Y_{\hat{\sigma}_1} + \dots + Y_{\hat{\sigma}_n}$ , and  $T$  is  $S'$ -decomposable.

**REMARK 2.6.** Let  $T \in B(X)$  be a  $S$ -decomposable operator and  $S_1 \subset S$  the closing of the set of  $S$ 's points in which  $S$  has the dimension 0,  $\dim S_1 = 0$  and thus that  $S' = S \setminus S_1$  be closed (and thus separated from  $S_1$ ); then from the preceding proposition it follows that  $T$  is  $S'$ -decomposable.

**PROPOSITION 2.7** Let  $T_\alpha \in (X_\alpha)$  ( $\alpha=1,2$ ) and let  $T_1 \oplus T_2 \in B(X_1 \oplus X_2)$ . If  $Y \subset X_1 \oplus X_2$  is a spectral maximal space of  $T_1 \oplus T_2$ , then  $Y = Y_1 \oplus Y_2$ , where  $Y_1, Y_2$  are spectral maximal spaces of  $T_1$  respectively  $T_2$ .

*Proof.* Let  $P_1$  and  $P_2$  be the corresponding projections:  $X_1 = P_1(X_1 \oplus X_2)$ ,  $X_2 = P_2(X_1 \oplus X_2)$ . It is easy to verify that  $P_1$  and  $P_2$  switch with  $T_1 \oplus T_2$  and since  $Y$  is ultrainvariant at  $T_1 \oplus T_2$ , it follows that  $Y$  is invariant to  $P_1$  and  $P_2$ . By putting  $Y_1 = P_1 Y$  and  $Y_2 = P_2 Y$ , we have  $Y_1 \subset Y$ ,  $Y_2 \subset Y$ ,  $Y_1 \oplus Y_2 \subset Y$ ,  $P_1$  and  $P_2$  also being projections in the Banach space  $Y$ ,  $Y_1, Y_2$  closed. If  $y \in Y$ , then  $y = P_1 y \oplus P_2 y \in Y_1 \oplus Y_2$ , so

$Y = Y_1 \oplus Y_2$ . Let  $Z_\alpha$  ( $\alpha=1,2$ ) two invariant at  $T$  subspace such that

$$\sigma(T_\alpha | Z_\alpha) \subset \sigma(T_\alpha | Y_\alpha) \quad (\alpha=1,2).$$

Then  $Z = Z_1 \oplus Z_2$  is an (closed) invariant subspace at  $T_1 \oplus T_2$  and

$$\sigma(T_1 \oplus T_2 | Z_1 \oplus Z_2) \subset \sigma(T_1 \oplus T_2 | Y_1 \oplus Y_2),$$

hence  $Z_1 \oplus Z_2 \subset Y_1 \oplus Y_2$ . From this inclusion it obviously follows that

$$Z_1 \subset Y_1, Z_2 \subset Y_2$$

consequently  $Y_1$  and  $Y_2$  are spectral maximal spaces of  $T_1$ , respectively  $T_2$ .

### 3. SPECTRAL EQUIVALENCE OF $\mathcal{A}_S$ -SCALAR OPERATORS.

#### $\mathcal{A}_S$ -DECOMPOSABLE AND $\mathcal{A}_S$ -SPECTRAL OPERATORS

For decomposable (respectively, spectral,  $S$ -decomposable,  $S$ -spectral) operators, we have several important results with respect to spectral equivalence property. Thus if  $T_1, T_2 \in \mathbf{B}(X)$ ,  $T_1$  is decomposable (respectively, spectral,  $S$ -decomposable,  $S$ -spectral) and  $T_1, T_2$  are spectral equivalent, then  $T_2$  is also decomposable (respectively, spectral,  $S$ -decomposable,  $S$ -spectral). Furthermore, if  $T_1$  and  $T_2$  are decomposable (respectively, spectral), then  $T_1, T_2$  are spectral equivalent if and only if the spectral maximal spaces  $X_{T_1}(F), X_{T_2}(F)$  of  $T_1$  and  $T_2$ , corresponding to any closed set  $F \subset \mathbb{C}$ , are equal (respectively, the spectral measures  $E_1, E_2$  of  $T_1$  and  $T_2$  are equal) ([8], 2.2.1, 2.2.2, 2.2.4). For  $S$ -decomposable (respectively,  $S$ -spectral) operators, the equality of the spectral spaces (respectively, the equality of  $S$ -spectral measures) does not induce the spectral equivalence of the operators, but only their  $S$ -spectral equivalence.

The behaviour of  $\mathcal{A}$ -scalar and  $\mathcal{A}_S$ -scalar operators with respect to spectral equivalence is completely different. If  $T_1 \in \mathbf{B}(X)$  is  $\mathcal{A}$ -scalar (respectively,  $\mathcal{A}_S$ -scalar) and  $T_2 \in \mathbf{B}(X)$  is spectral equivalent to  $T_1$ , then  $T_2$  is not  $\mathcal{A}$ -scalar (respectively,  $\mathcal{A}_S$ -scalar), in general; in this situation, we still know

that  $T_2$  is decomposable (respectively,  $S$ -decomposable) and then  $T_2$  is said to be  $\mathcal{A}$ -decomposable (respectively,  $\mathcal{A}_S$ -decomposable). If in addition  $T$  commutes with one of its  $\mathcal{A}$ -spectral (respectively,  $\mathcal{A}_S$ -spectral) functions  $U$ , i.e.  $TU_f = U_fT$ , for any  $f \in \mathcal{A}$  (respectively, for any  $f \in \mathcal{A}_S$ ), then  $T$  is said to be  $\mathcal{A}$ -spectral (respectively,  $\mathcal{A}_S$ -spectral).

**Definition 3.1.** An operator  $T \in \mathbf{B}(X)$  is called  $\mathcal{A}_S$ -decomposable if there is an  $\mathcal{A}_S$ -spectral function  $U$  such that  $T$  is spectral equivalent to  $U_\lambda$ .

In case that  $S = \emptyset$ , we have  $\mathcal{A}_\emptyset = \mathcal{A}$ ,  $\mathcal{A}_\emptyset$ -spectral function is  $\mathcal{A}$ -spectral function,  $\mathcal{A}_\emptyset$ -decomposable operator is  $\mathcal{A}$ -decomposable operator ([8]).

**Theorem 3.2.** Let  $T \in \mathbf{B}(X)$  such that we consider the following two assertions:

(I) There is an  $\mathcal{A}_S$ -spectral function  $U$  such that  $T$  is spectral equivalent to  $U_\lambda$  (i.e.  $T$  is  $\mathcal{A}_S$ -decomposable);

(II) There is an  $\mathcal{A}_S$ -spectral function  $U$  such that for any closed set  $F \subset \mathbb{C}$ ,  $F \supset S$ , we have:

$$(a) TX_{U_\lambda}(F) \subset X_{U_\lambda}(F)$$

$$(b) \sigma(T|X_{U_\lambda}(F)) \subset F.$$

Then the assertion (I) implies the assertion (II), and for case  $S = \emptyset$ , the assertions (I) and (II) are equivalent.

*Proof.* Let us suppose that there is an  $\mathcal{A}_S$ -spectral function  $U$  such that  $T$  and  $U_\lambda$  are spectral equivalent. Since  $U_\lambda$  is  $S$ -decomposable (Theorem 1.5), then, according to Theorem 1.11, it results that  $T$  is  $S$ -decomposable and we have

$$X_T(F) = X_{U_\lambda}(F) \text{ for any } F \subset \mathbb{C}$$

closed,  $F \supset S$ . But  $X_T(F)$  is invariant to  $T$  and  $\sigma(T|X_T(F)) \subset F$  (Theorem 2.1.3, [6]), whence it follows (by (1)) that

$$TX_{U_\lambda}(F) \subset X_{U_\lambda}(F)$$

and

$$\sigma(T|X_{U_\lambda}(F)) \subset F.$$

In case  $S = \emptyset$ , if the assertion (II) is fulfilled, according to Theorem 2.2.6, [8], we deduce that  $T$  is decomposable and that the equality (1) holds for any closed set  $F \subset \mathbb{C}$ . Then  $T$  is spectral equivalent to  $U_\lambda$  (Theorem 2.2.2, [8]) and therefore (I) is verified.

**Remark 3.3.** If  $T \in \mathbf{B}(X)$  is  $\mathcal{A}_S$ -decomposable and  $U$  is one of its  $\mathcal{A}_S$ -spectral functions, then:

1)  $T$  is  $S$ -decomposable;

2)  $X_T(F) = X_{U_\lambda}(F)$ , for any  $F \subset \mathbb{C}$

closed,  $F \supset S$ ;

3) If  $V$  is another  $\mathcal{A}_S$ -spectral function of  $T$ , then  $U_\lambda$  and  $V_\lambda$  are spectral equivalent (in particular,  $V_\lambda$  is spectral equivalent to  $T$ );

4) For  $S = \emptyset$ , if  $\mathcal{A}$  is an inverse closed algebra of continuous functions defined on a closed subset of  $\mathbb{C}$  and  $V$  is another  $\mathcal{A}$ -spectral function of  $T$ , then  $U_f$  and  $V_f$  are spectral equivalent, for any  $f \in \mathcal{A}$  (see [8]).

**Definition 3.4.** An operator  $T \in \mathbf{B}(X)$  is called  $\mathcal{A}_S$ -spectral if it is  $\mathcal{A}_S$ -decomposable and commutes with one of its  $\mathcal{A}_S$ -spectral functions, hence  $T$  is  $\mathcal{A}_S$ -spectral if there is an  $\mathcal{A}_S$ -spectral function  $U$  commuting with  $T$  such that  $T$  is spectral equivalent to  $U_\lambda$ .

For  $S = \emptyset$ , we have that an  $\mathcal{A}_\emptyset$ -spectral operator is an  $\mathcal{A}$ -spectral operator ([8]).

**Theorem 3.5.** For an operator  $T \in \mathbf{B}(X)$  we consider the following four assertions:

(I)  $T$  is  $\mathcal{A}_S$ -decomposable and commutes with one of its  $\mathcal{A}_S$ -spectral functions (i.e.  $T$  is  $\mathcal{A}_S$ -spectral);

(II) (II1)  $T$  is  $S$ -decomposable;

(II2) There is an  $\mathcal{A}_S$ -spectral function  $U$  commuting with  $T$ , i.e.  $U_fT = TU_f$ , for any  $f \in \mathcal{A}_S$ ;

(II3)  $X_T(F) = X_{U_\lambda}(F)$ , for any  $F \subset \mathbb{C}$

closed,  $F \supset S$ ;

(III) (III1) There is an  $\mathcal{A}_S$ -spectral function  $U$  commuting with  $T$ ;

(III2)  $\sigma(T|X_{U_\lambda}(F)) \subset F$ , for any

$F \subset \mathbb{C}$  closed,  $F \supset S$ ;

(IV)  $T = S + Q$ , where  $S$  is an  $\mathcal{A}_S$ -scalar operator and  $Q$  is a quasinilpotent operator commuting with an  $\mathcal{A}_S$ -spectral function of  $S$  (not to be confused the compact subset  $S$  with the operator  $S$  from the equality  $T = S + Q$ ,  $S$  being the scalar part of  $T$  and  $Q$  the radical part of  $T$ ).

Then the assertions (I) and (IV), respectively (II) and (III) are equivalent, (I) implies (II), respectively (III), and finally (IV) implies (II).

*Proof.* (I)  $\Rightarrow$  (II), (III). Assuming (I) fulfilled, we prove that the assertions (II) and (III) are verified. If  $T$  is  $\mathcal{A}_S$ -decomposable and commutes with one of its  $\mathcal{A}_S$ -spectral functions  $U$ , then  $U_\lambda$  is spectral-equivalent to  $T$ . Furthermore,  $U_\lambda$  being  $S$ -decomposable (Theorem 1.5), then  $T$  is  $S$ -decomposable (Theorem 1.12) and we have the equality:

$$X_T(F) = X_{U_\lambda}(F)$$

for any  $F \subset \mathbb{C}$  closed,  $F \supset S$ , hence (II) is fulfilled. From Theorem 2.2, it follows that

$$\sigma(T|X_{U_\lambda}(F)) = \sigma(T|X_T(F)) \subset F$$

for any  $F \subset \mathbb{C}$  closed,  $F \supset S$ , hence (III) is also verified.

(I)  $\Rightarrow$  (IV)  $T$  being  $\mathcal{A}_S$ -spectral, there is an  $\mathcal{A}_S$ -spectral function  $U$  commuting with  $T$ , i.e.  $TU_f = U_f T$ , for any  $f \in \mathcal{A}_S$  (in particular,  $TU_\lambda = U_\lambda T$ ) such that  $T$  is spectral equivalent to  $U_\lambda$ . But the operator  $U_\lambda$  is  $S$ -decomposable (Theorem 1.5), hence by Theorem 1.12,  $T$  is also  $S$ -decomposable and the following equality is verified

$$X_T(F) = X_{U_\lambda}(F), \text{ for any } F \subset \mathbb{C} \text{ closed, } F \supset S.$$

Using the fact that  $T$  and  $U_\lambda$  commute, it follows that  $T - U_\lambda$  is a quasinilpotent operator commuting with  $U$ , because

$$(T - U_\lambda)^{[n]} = \sum_{k=0}^n (-1)^{n-k} T^k U_\lambda^{n-k} = (T - U_\lambda)^n$$

and the quasinilpotent equivalence of  $T$  and  $U_\lambda$  is given by

$$\lim_{n \rightarrow \infty} \left\| (T - U_\lambda)^{[n]} \right\|^{\frac{1}{n}} = \lim_{n \rightarrow \infty} \left\| (U_\lambda - T)^{[n]} \right\|^{\frac{1}{n}} = 0$$

(we remember that an operator  $T$  is quasinilpotent if  $\lim_{n \rightarrow \infty} \left\| T^n \right\|^{\frac{1}{n}} = 0$  or,

equivalently,  $\sigma(T) = 0$ ). We remark that if  $U$  is an  $\mathcal{A}_S$ -spectral function, then  $U_\lambda$  is an  $\mathcal{A}_S$ -scalar operator. Putting  $S = U_\lambda$  and  $Q = T - U_\lambda$ , we have

$$T = S + Q$$

where  $S$  is  $\mathcal{A}_S$ -scalar and  $Q$  is quasinilpotent ( $S$  is the scalar part of  $T$  and  $Q$  is the radical part of  $T$ ).

(IV)  $\Rightarrow$  (I) By the hypothesis of assertion (IV), since  $S$  is an  $\mathcal{A}_S$ -scalar operator, we deduce that there is at least one  $\mathcal{A}_S$ -spectral function  $U$  of  $S$  such that:  $S = U_\lambda$ , the quasinilpotent operator  $Q$  commutes with  $U$  and  $S$  is  $S$ -decomposable (Theorem 1.5). It also results that  $T = S + Q$  commutes with  $U$  (since we obviously have  $U_\lambda U_f = U_f U_\lambda = U_\lambda f$ ) and since  $Q = T - S$  is quasinilpotent, then  $T$  is spectral equivalent to  $S$ , consequently  $T$  is  $\mathcal{A}_S$ -spectral.

(III)  $\Rightarrow$  (II) Assume that there is an  $\mathcal{A}_S$ -spectral function  $U$  commuting with  $T$  such that  $\sigma(T|X_{U_\lambda}(F)) \subset F$ , for  $F \subset \mathbb{C}$  closed,  $F \supset S$ . On account of the definition and the properties of an  $\mathcal{A}_S$ -spectral function and of an  $\mathcal{A}_S$ -scalar operator, we remark that  $U_\lambda$  is an  $\mathcal{A}_S$ -scalar operator, hence  $U_\lambda$  is  $S$ -decomposable (Theorem 1.5) and we have  $X_{U_\lambda}(F) = X_{[U]}(F)$ ,  $F \subset \mathbb{C}$  closed,  $F \supset S$  (Theorem 1.9). But  $X_{U_\lambda}(F)$  is a spectral maximal space of  $U_\lambda$  (Theorem 2.1.3, [6]), hence it is ultrainvariant to  $U_\lambda$  (Proposition 1.3.2, [8]); therefore  $X_{U_\lambda}(F)$  is invariant to  $T$  and then the restriction  $T|X_{U_\lambda}(F)$  makes sense and  $\sigma(T|X_{U_\lambda}(F)) \subset F$ .

(II)  $\Rightarrow$  (III) The operator  $T$  being  $S$ -decomposable, according to Theorem 2.1.3, [6], we have that  $X_T(F)$  is a spectral maximal space of  $T$ , for any  $F \subset \mathbb{C}$  closed,  $F \supset S$  and

$$\sigma(T|X_T(F)) \subset F \cap \sigma(T)$$

hence (by ((II3))

$$\sigma(T|X_{U_\lambda}(F)) = \sigma(T|X_T(F)) \subset F.$$

(IV)  $\Rightarrow$  (II)  $S$  being  $\mathcal{A}_S$ -scalar, there is an  $\mathcal{A}_S$ -spectral function  $U$  such that  $S = U_\lambda$ . But from Theorem 1.5,  $S$  is  $S$ -decomposable and applying Theorem 1.11 to  $T$  and  $S$ , we get that  $T$  is  $S$ -decomposable and

$$X_T(F) = X_S(F) = X_{U_\lambda}(F)$$

for any  $F \subset \mathbb{C}$  closed,  $F \supset S$ .

The function  $U$  commutes with the quasinilpotent operator  $Q$ , i.e.  $QU_f = U_fQ$ , for  $f \in \mathcal{A}_S$ , hence  $T = S + Q$  commutes with  $U$ .

**Remark 3.6.** With the same conditions as in Theorem 2.4, if  $S = \emptyset$ , then the four assertions above are equivalent (see [8]).

**Remark 3.7.** Let  $T_1, T_2 \in \mathbf{B}(X)$  be two spectral equivalent operators. Then we have:

- 1) If  $T_1 \in \mathbf{B}(X)$  is  $\mathcal{A}_S$ -scalar (respectively,  $\mathcal{A}$ -scalar), then  $T_2$  is not  $\mathcal{A}_S$ -scalar (respectively,  $\mathcal{A}$ -scalar).
- 2) If  $T_1 \in \mathbf{B}(X)$  is  $\mathcal{A}_S$ -decomposable (respectively,  $\mathcal{A}$ -decomposable), then  $T_2$  is  $\mathcal{A}_S$ -decomposable (respectively,  $\mathcal{A}$ -decomposable).
- 3) If  $T_1 \in \mathbf{B}(X)$  is  $\mathcal{A}_S$ -spectral (respectively,  $\mathcal{A}$ -spectral), then  $T_2$  is not  $\mathcal{A}_S$ -spectral (respectively,  $\mathcal{A}$ -spectral).

#### 4. CONCLUSIONS

We will underline the relevance, importance and necessity of studying the  $\mathcal{A}_S$ -scalar (respectively,  $\mathcal{A}_S$ -decomposable or  $\mathcal{A}_S$ -spectral) operators, showing the consistence of this class, in the sense of how many and how substantial its subfamilies are. These operators are natural generalizations of the notions of  $\mathcal{A}$ -scalar,  $\mathcal{A}$ -decomposable and  $\mathcal{A}$ -spectral

operators studied in [8] and appear, in general, as restrictions or quotients of the last one.

We demonstrated some of their properties, leaving the challenge to proof and generalize many others.

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