

# SPECTRAL EQUIVALENCE OF $S$ -DECOMPOSABLE OPERATORS

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**Abstract**—One of the essential characteristics of the class of decomposable (spectral, scalar, generalized scalar and spectral,  $\mathcal{A}$ -scalar) operators is the transfer of spectral proprieties from one operator to another using quasinilpotent equivalence ([14]). The family of  $S$ -decomposable operators, although larger than the class of decomposable operators studied in several papers (about 40), preserves the most interesting properties of the class of decomposable operators. In this paper we make the link between  $S$ -decomposable operators and spectral equivalence (respectively,  $S$ -spectral equivalence). As is known, for two decomposable operators  $T_1$  and  $T_2$  which are spectral equivalent, the spectral properties of  $T_1$  transfer to  $T_2$  (Theorems II.1, II.2, II.3 and Consequence II.1, [14]). We prove that this fact remains partially true for  $S$ -decomposable

operators, because these operators behave differently and distinctly with respect to spectral equivalence; in this case, the spectral equivalence is not "equivalent" to equality of spectral maximal spaces  $X_{T_1}(F) = X_{T_2}(F)$ ; this equality involves only a weaker property called  $S$ -spectral equivalence, which is natural in this case.

To show the relevance and the necessity of studying the above stated property for the family of  $S$ -decomposable operators, we emphasize the consistency of this class, in the sense of how many and varied are the subfamilies that compose it: the restrictions and the quotients (with respect to an invariant subspace) of decomposable (unitary, self-adjoint, normal, spectral (scalar), generalized spectral (scalar),  $\mathcal{A}$ -scalar,  $\mathcal{A}$ -unitary) operators; the perturbations and the direct sums composed by one decomposable operator and another operator; the subscalar (subnormal, subdecomposable) operators are  $S$ -decomposable (practically,  $S$ -scalar,  $S$ -normal), as restrictions of scalar (respectively, normal, decomposable) operators. Putinar showed that the hiponormal operators are subscalar, hence  $S$ -decomposable. The quasinormal operators (i.e.  $T$  commutes with  $T^*T$ ), being subnormal, are  $S$ -decomposable; for cosubnormal operators (i.e.  $T^*$  is subnormal), the adjointable operators  $T^*$  are  $S$ -decomposable. Cesaro operators are subscalar, hence  $S$ -scalar and  $S$ -decomposable; the operators which admit scalar dilatations (extensions) (C. Ionescu-Tulcea) or  $\mathcal{A}$ -scalar dilatations (El. Stroescu) are  $S$ -decomposable. In fact, Albrecht and Eschmeier showed that any operator is the quotient of a restriction or the restriction of a quotient of decomposable operators ([3]), thus any operator is  $S$ -decomposable or similar to an  $S$ -decomposable operator.

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## I. INTRODUCTION

Let  $X$  be a Banach space, let  $\mathbf{B}(X)$  be the algebra of all linear bounded operators on  $X$  and let  $\mathbb{C}$  be the field of complex numbers. An operator  $T \in \mathbf{B}(X)$  is said to have the

*single-valued extension property* if for any analytic function  $f: D_f \rightarrow X$  (where  $D_f \subset \mathbb{C}$  open) with  $(\lambda I - T)f(\lambda) \equiv 0$  it results that  $f(\lambda) \equiv 0$  ([14], [18]).

For an operator  $T \in \mathbf{B}(X)$  having the single-valued extension property and for  $x \in X$ , we consider the set  $\rho_T(x)$  of all elements  $\lambda_0 \in \mathbb{C}$  such that there is a  $X$ -valued analytic function  $\lambda \rightarrow x(\lambda)$  defined on a neighborhood of  $\lambda_0$  which verifies  $(\lambda I - T)x(\lambda) \equiv x$ ;  $x(\lambda)$  is unique,  $\rho_T(x)$  is open and  $\rho(T) \subset \rho_T(x)$ . We take  $\sigma_T(x) = \mathbb{C} \setminus \rho_T(x)$  and

$$X_T(F) = \{x \in X; \sigma_T(x) \subset F\},$$

where  $F \subset \mathbb{C}$  is closed.

$\rho_T(x)$  is called the *local resolvent set of  $x$  with respect to  $T$*  and  $\sigma_T(x)$  is the *local spectrum of  $x$  with respect to  $T$* .

If  $T \in \mathbf{B}(X)$  and  $Y$  is a (closed) subspace of  $X$  invariant to  $T$ , let us denote by  $T|_Y$  the restriction of  $T$  to  $Y$ . In what follows, by subspace of  $X$  we understand a closed linear manifold of  $X$ . Recall that  $Y \subset X$  is a *spectral maximal space* of  $T$  if it is an invariant subspace to  $T$  such that for any other subspace  $Z$  of  $X$ , invariant to  $T$ , the inclusion  $\sigma(T|_Z) \subset \sigma(T|_Y)$  implies the inclusion  $Z \subset Y$  ([14]).

An operator  $T \in \mathbf{B}(X)$  is *decomposable* if for any finite open covering  $\{G_i\}_{i=1}^n$  of  $\sigma(T)$ , there is a system  $\{Y_i\}_{i=1}^n$  of spectral maximal spaces of  $T$  such that  $\sigma(T|_{Y_i}) \subset G_i$  ( $i = 1, 2, \dots, n$ ) and  $X = Y_1 + Y_2 + \dots + Y_n$  ([14], [19]). An operator  $T$  is *strongly decomposable* if  $T|_Y$  is decomposable, for any spectral maximal space  $Y$  of  $T$ .

In order to study the link between  $S$ -decomposable operators and spectral equivalence, we need several notions from the theory of residually spectral decompositions brought up by F.H. Vasilescu in [25], [26], [27].

An open set  $\Omega \subset \mathbb{C}$  is said to be a *set of analytic uniqueness* for  $T \in \mathbf{B}(X)$  if for any open set  $\omega \subset \Omega$  and any analytic function  $f_0: \omega \rightarrow X$  satisfying the equation  $(\lambda I - T)f_0(\lambda) \equiv 0$ , it follows that  $f_0(\lambda) \equiv 0$  in  $\omega$ . For  $T \in \mathbf{B}(X)$ , there is a unique *maximal open set  $\Omega_T$  of analytic uniqueness* (2.1., [25]). We denote by  $S_T = \mathbb{C} \setminus \Omega_T$  and call it the *analytic spectral residuum of  $T$* .

For  $x \in X$ , a point  $\lambda$  is in  $\delta_T(x)$  if in a neighborhood  $V_\lambda$  of  $\lambda$  there is at least an analytic function  $f_x$  (called  *$T$ -associated to  $x$* ) such that  $(\mu I - T)f_x(\mu) \equiv x$ , for all  $\mu \in V_\lambda$ . We shall put

$$\gamma_T(x) = \mathbb{C} \setminus \delta_T(x), \rho_T(x) = \delta_T(x) \cap \Omega_T,$$

$$\sigma_T(x) = \mathbb{C} \setminus \rho_T(x) = \gamma_T(x) \cup S_T$$

and

$$X_T(F) = \{x \in X; \sigma_T(x) \subset F\},$$

where  $S_T \subset F \subset \mathbb{C}$  ([25]).

$T \in \mathbf{B}(X)$  has the single-valued extension property if and only if  $S_T = \emptyset$ ; then we have  $\sigma_T(x) = \gamma_T(x)$  and there is in  $\rho_T(x) = \delta_T(x)$  a unique analytic function  $x(\lambda)$ ,  $T$ -associated to  $x$ , for any  $x \in X$ . We shall recall that if  $T \in \mathbf{B}(X)$ ,  $S_T \neq \emptyset$  and  $X_T(F)$  is closed, for  $F \subset \mathbb{C}$  closed,  $S_T \subset F$ , then  $X_T(F)$  is a spectral maximal space of  $T$  and  $\sigma(T|X_T(F)) \subset F$  ([25], Propositions 2.4 and 3.4).

II. PRELIMINARIES

**Definition II.1.** A finite family of open sets  $G_S \cup \{G_i\}_{i=1}^n$  is said to be an  $S$ -covering of the closed set  $\sigma \subset \mathbb{C}$  if

$$G_S \cup \left( \bigcup_{i=1}^n G_i \right) \supset \sigma \cup S \quad \text{and} \quad \overline{G_i} \cap S = \emptyset \quad (i = 1, 2, \dots, n)$$

( $S \subset \mathbb{C}$  also closed) ([25]).

**Definition II.2.** Let  $T \in \mathbf{B}(X)$  and let  $S \subset \sigma(T)$  be a compact set.  $T$  is called  $S$ -decomposable (see also [11]) if for any finite open  $S$ -covering  $G_S \cup \{G_i\}_{i=1}^n$  of  $\sigma(T)$ , there is a system  $Y_S \cup \{Y_i\}_{i=1}^n$  of spectral maximal spaces of  $T$  such that

- (i)  $\sigma(T|Y_S) \subset G_S, \sigma(T|Y_i) \subset G_i \quad (i = 1, 2, \dots, n)$
- (ii)  $X = Y_S + \sum_{i=1}^n Y_i.$

$T$  is strongly  $S$ -decomposable if the condition (ii) is replaced by

$$(ii') \quad Z = (Z \cap Y_S) + \sum_{i=1}^n (Z \cap Y_i)$$

where  $Z$  is any spectral maximal space of  $T$  and we shall say that  $T$  is weakly  $S$ -decomposable if the same condition (ii) is replaced by

$$(ii'') \quad X = \overline{Y_S + \sum_{i=1}^n Y_i}.$$

If in the definition of  $S$ -decomposability,  $Y_S$  is not necessarily a spectral maximal space of  $T$  and  $\sigma(T|Y_S) \subset \tilde{G}_S$ , then we say that  $T \in \mathbf{D}_S$  (if  $A \subset \mathbb{C}$  is bounded, we denote  $\tilde{A} = \mathbb{C} \setminus D^\infty$ , where  $D^\infty$  is the unbounded component of  $\mathbb{C} \setminus A$ ).

An operator  $T \in \mathbf{B}(X)$  is called  $(m, S)$ -decomposable if in the definition of  $S$ -decomposability we consider the  $S$ -covering composed by exactly  $m + 1$  sets; if  $m = 1$  we have  $(1, S)$ -decomposability. Recall that  $T$  is  $S$ -decomposable if and only if  $T$  is  $(1, S)$ -decomposable.

**Definition II.3.** We say that  $T_1, T_2 \in \mathbf{B}(X)$  are spectral equivalent (or quasi-nilpotent equivalent, see [14], Definition 2.1.) and write  $T_1 \sim T_2$ , if

$$\lim_{n \rightarrow \infty} \left\| (T_1 - T_2)^{[n]} \right\|^{\frac{1}{n}} = 0 \quad \text{and} \quad \lim_{n \rightarrow \infty} \left\| (T_2 - T_1)^{[n]} \right\|^{\frac{1}{n}} = 0,$$

where

$$(T_1 - T_2)^{[n]} = \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} T_1^k T_2^{n-k}.$$

This relation is reflexive, symmetric and transitive.

**Theorem II.1.** ([14]) Let  $T_1, T_2 \in \mathbf{B}(X)$ . If  $T_1 \sim T_2$ , then  $\sigma(T_1) = \sigma(T_2)$ .

**Theorem II.2.** ([14]) Let  $T_1, T_2 \in \mathbf{B}(X)$ . If  $T_1$  has the single-valued extension property and  $T_1 \sim T_2$ , then  $T_2$  has also the single-valued extension property and  $\sigma_{T_1}(x) = \sigma_{T_2}(x)$ , for every  $x \in X$ .

**Theorem II.3.** ([14]) If  $T_1 \in \mathbf{B}(X)$  is decomposable and  $T_1 \sim T_2$ , then  $T_2 \in \mathbf{B}(X)$  is also decomposable and

$$X_{T_1}(F) = X_{T_2}(F), \quad F \subset \mathbb{C} \text{ closed.}$$

Conversely, if  $T_1, T_2 \in \mathbf{B}(X)$  are decomposable operators such that  $X_{T_1}(F) = X_{T_2}(F)$ , for every  $F \subset \mathbb{C}$  closed, then  $T_1 \sim T_2$ .

**Consequence II.1.** ([14]) Let  $T_1, T_2 \in \mathbf{B}(X)$  be decomposable operators. Then  $T_1$  is spectral equivalent with  $T_2$  if and only if

$$X_{T_1}(F) = X_{T_2}(F)$$

for every  $F \subset \mathbb{C}$  closed.

III.  $S$ -DECOMPOSABLE OPERATORS SPECTRAL EQUIVALENT

**Definition III.1.** Let  $T \in \mathbf{B}(X)$  and let  $S \subset \mathbb{C}$  be a compact set.  $T$  is said to verify condition  $\alpha_S$  if  $X_T(F)$  is closed for any closed  $F \supset S$ .  $T$  is also said to verify condition  $\beta_S$  if for any finite open  $S$ -covering  $G_S \cup \{G_i\}_{i=1}^n$  of  $\sigma(T)$  and for any  $x \in X$  we have

$$x = x_S + x_1 + x_2 + \dots + x_n$$

where

$$\gamma_T(x_S) \subset G_S, \gamma_T(x_i) \subset G_i \quad (i = 1, 2, \dots, n) \quad ([11], [26]).$$

$T$  is said to verify strongly condition  $\beta_S$  if for any spectral maximal space  $Y$  of  $T$ , the restriction  $T|Y$  verifies condition  $\beta_{S_1}$ , where  $S_1 = S \cap \sigma(T|Y)$ .

**Lemma III.1.** An operator  $T \in \mathbf{B}(X)$  is  $S$ -decomposable if and only if it verifies conditions  $\alpha_S$  and  $\beta_S$ .

*Proof:* Let  $G_S \cup \{G_i\}_{i=1}^n$  be a finite open  $S$ -covering of  $\sigma(T)$ . Since  $\overline{G_i} \cap S = \emptyset \quad (1 \leq i \leq n)$  we have

$$X_T(\overline{G_i} \cup S) = Y_i \oplus Y_S$$

where  $X_T(\overline{G_i} \cup S), Y_i, Y_S$  are spectral maximal spaces of  $T$  (see Propositions 2.4. and 3.4., [25]). Also, if  $Y$  is a spectral maximal space of  $T$  we have  $\delta_{T|Y}(x) \subset \delta_T(x)$ , for any  $x \in Y$ , hence  $\gamma_T(x) \subset \gamma_{T|Y}(x) \subset \sigma(T|Y)$ , for any  $x \in Y$ . If we considering these remarks, our assertion is obvious. ■

**Proposition III.1.** Let  $T_1, T_2 \in \mathbf{B}(X)$ . If  $T_1$  is  $S$ -decomposable with  $S_{T_1} = \emptyset$  (i.e.  $T_1$  has the single-valued extension property; particularly  $\dim S \leq 1$ ) and  $T_1, T_2$  are spectral equivalent, then  $T_2$  is also  $S$ -decomposable.

*Proof:* If  $T_1, T_2$  are spectral equivalent, then

$$\sigma(T_1) = \sigma(T_2), \sigma_{T_1}(x) = \sigma_{T_2}(x), \quad x \in X \quad (S_{T_1} = \emptyset \Rightarrow S_{T_2} = \emptyset)$$

and

$$X_{T_1}(F) = X_{T_2}(F)$$

for any  $F \subset \mathbb{C}$  closed ([14]). Therefore  $T_2$  also verifies conditions  $\alpha_S$  and  $\beta_S$ , hence  $T_2$  is also  $S$ -decomposable. ■

**Proposition III.2.** Let  $T_1, T_2 \in \mathbf{B}(X)$  with  $S_{T_1} \neq \emptyset, S_{T_2} \neq \emptyset$ . If  $T_1$  is spectral equivalent with  $T_2$ , then

$$\gamma_{T_1}(x) = \gamma_{T_2}(x),$$

for any  $x \in X$ .

*Proof:* We observe that the proof of this proposition is similar to the proof of Theorem 2.4. ([14], Chapter 1) and we present briefly the main steps. Let  $\lambda_0 \in \delta_{T_1}(x)$ ; then there is an analytic function  $x_1(\lambda)$  defined on a neighborhood  $\omega$  of  $\lambda_0$  such that  $(\lambda I - T_1)x_1(\lambda) \equiv x$ , for any  $\lambda \in \omega$ .

Let  $\Delta_1 = \{\lambda; |\lambda - \lambda_0| \leq r_1\} \subset \Delta_2 = \{\lambda; |\lambda - \lambda_0| < r_2\} \subset \delta_{T_1}(x)$ , with  $r_1 < r_2$  and  $M = \sup \|x_1(\lambda)\|$  on  $\{\lambda; |\lambda - \lambda_0| = r_2\}$ ; then for  $\lambda \in \Delta_1$  we have

$$\begin{aligned} \left\| \frac{x_1^{(n)}(\lambda)}{n!} \right\| &= \left\| \frac{1}{2\pi i} \int_{|\mu - \lambda_0| = r_2} \frac{x_1(\mu)}{(\mu - \lambda)^{n+1}} d\mu \right\| \\ &\leq \frac{M \cdot r_2}{(r_2 - r_1)^{n+1}}. \end{aligned}$$

From the fact that  $\lim_{n \rightarrow \infty} \left\| (T_2 - T_1)^{[n]} \right\|^{\frac{1}{n}} = 0$ , it results that for every  $\varepsilon > 0$ , there is  $M(\varepsilon) > 0$  such that

$$\left\| (T_2 - T_1)^{[n]} \right\| < M(\varepsilon) \cdot \varepsilon^n \quad (n \geq 0)$$

and by taking  $\varepsilon = \frac{r_2 - r_1}{2}$  we obtain

$$\left\| (T_2 - T_1)^{[n]} \frac{x_1^{(n)}(\lambda)}{n!} \right\| < \frac{r_2 \cdot M \cdot M(\varepsilon)}{r_2 - r_1} \cdot \left( \frac{\varepsilon}{r_2 - r_1} \right)^n \leq \frac{M}{2^n},$$

hence the series  $x_2(\lambda) = \sum_{n=0}^{\infty} (-1)^n (T_2 - T_1)^{[n]} \frac{x_1^{(n)}(\lambda)}{n!}$  is absolutely uniformly convergent in  $\Delta_1$ ; therefore,  $\Delta_1 \subset \delta_{T_1}(x)$  being arbitrary, it converges absolutely and uniformly in every compact  $K \subset \delta_{T_1}(x)$ . It follows that  $x_2(\lambda)$  is analytic on  $\delta_{T_1}(x)$  and we show that  $(\lambda I - T_2)x_2(\lambda) \equiv x$ . If we take the  $n$ -times derivative of the identity  $(\lambda I - T_1)x_1(\lambda) \equiv x$  we obtain

$$(\lambda I - T_1)x_1^{(n)}(\lambda) \equiv -nx_1^{(n-1)}(\lambda)$$

and

$$\begin{aligned} (\lambda I - T_2)x_2(\lambda) &= \sum_{n=0}^{\infty} (-1)^n (\lambda I - T_2)(T_2 - T_1)^{[n]} \frac{x_1^{(n)}(\lambda)}{n!} = \\ &= \sum_{n=0}^{\infty} (\lambda I - T_2)[(\lambda I - T_2) - (\lambda I - T_1)]^{[n]} \frac{x_1^{(n)}(\lambda)}{n!} = \\ &= \sum_{n=0}^{\infty} (-1)^{n+1} (T_2 - T_1)^{[n+1]} \frac{x_1^{(n)}(\lambda)}{n!} + (\lambda I - T_1)x_1(\lambda) - \\ &- \sum_{n=1}^{\infty} (-1)^n (T_2 - T_1)^{[n]} \frac{x_1^{(n-1)}(\lambda)}{(n-1)!} = \\ &= \sum_{n=0}^{\infty} (-1)^{n+1} (T_2 - T_1)^{[n+1]} \frac{x_1^{(n)}(\lambda)}{n!} + (\lambda I - T_1)x_1(\lambda) - \\ &- \sum_{n=0}^{\infty} (-1)^{n+1} (T_2 - T_1)^{[n+1]} \frac{x_1^{(n)}(\lambda)}{n!} = (\lambda I - T_1)x_1(\lambda) \equiv x, \end{aligned}$$

i.e.  $\delta_{T_1}(x) \subset \delta_{T_2}(x)$ . In a similar way, we will show that  $\delta_{T_2}(x) \subset \delta_{T_1}(x)$ , thus  $\delta_{T_1}(x) = \delta_{T_2}(x)$  and  $\gamma_{T_1}(x) = \gamma_{T_2}(x)$ . ■

**Theorem III.1.** Let  $T_1, T_2 \in \mathbf{B}(X)$  be two operators spectral equivalent. Then the analytic spectral residuum of  $T_1$  is equal to the analytic spectral residuum of  $T_2$ , i.e.  $S_{T_1} = S_{T_2}$ .

*Proof:* Let  $f: \omega \rightarrow X$  be an analytic function that verifies the property  $(\lambda I - T_2)f(\lambda) \equiv 0$ , where  $\omega \subset \Omega_{T_1}$ . Then  $(T_1 - T_2)^{[n]}f(\lambda) = (T_1 - \lambda I)^n f(\lambda)$ , because  $(\lambda I - T_2)^p f(\lambda) \equiv 0$  for  $p \geq 1$  and

$$\begin{aligned} (T_1 - T_2)^{[n]}f(\lambda) &= [(T_1 - \lambda I) - (T_2 - \lambda I)]^{[n]}f(\lambda) = \\ &= \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} (T_1 - \lambda I)^k (T_2 - \lambda I)^{n-k} f(\lambda) = (T_1 - \lambda I)^n f(\lambda). \end{aligned}$$

By the Cauchy's root criterion, the series  $S = \sum_{n=0}^{\infty} (T_1 - T_2)^{[n]}(\mu - \lambda)^{-n-1}$  is absolutely convergent in the uniform topology of  $\mathbf{B}(X)$ , for every  $\mu \neq \lambda$ , because

$$\lim_{n \rightarrow \infty} \left( \frac{\left\| (T_1 - T_2)^{[n]} \right\|}{|\mu - \lambda|^{n+1}} \right)^{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{\left\| (T_1 - T_2)^{[n]} \right\|^{\frac{1}{n}}}{|\mu - \lambda|} = \frac{0}{|\mu - \lambda|} = 0.$$

According to relations (4.8.4.) and (5.2.3.) ([23]) we have

$$R(\mu, T_1) = \sum_{n=0}^{\infty} (T_1 - \lambda I)^n (\mu - \lambda)^{-n-1}$$

for every  $\mu$  such that  $|\mu - \lambda| > \|T_1 - \lambda I\|$ , therefore

$$\begin{aligned} (\mu I - T_1) \left( \sum_{n=0}^{\infty} \frac{(T_1 - T_2)^{[n]}}{(\mu - \lambda)^{n+1}} \right) f(\lambda) &= \\ (\mu I - T_1) \left( \sum_{n=0}^{\infty} \frac{(T_1 - \lambda I)^n}{(\mu - \lambda)^{n+1}} \right) f(\lambda) &= \\ = (\mu I - T_1)R(\mu, T_1)f(\lambda) = f(\lambda), \text{ for any } |\mu - \lambda| > \|T_1 - \lambda I\|. \end{aligned}$$

It follows that the analytic function (in  $\mathbb{C} \setminus \{\lambda\} = \mathbb{C} \setminus \{\lambda\}$ )

$$g_\lambda(\mu) = Sf(\lambda) = \sum_{n=0}^{\infty} (T_1 - T_2)^{[n]} (\mu - \lambda)^{-n-1} f(\lambda)$$

verifies the relation

$$(\mu I - T_1)g_\lambda(\mu) = f(\lambda) \tag{\alpha}$$

on a open set  $\{\mu; |\mu - \lambda| > \|T_1 - \lambda I\|\}$  and, by analytic extension, for every  $\mu \neq \lambda$ . Thus  $\mathbb{C} \setminus \{\lambda\} \subset \delta_{T_1}(f(\lambda))$ , i.e.  $\gamma_{T_1}(f(\lambda)) \subset \{\lambda\}$ .

Now we have to show that  $\gamma_{T_1}(f(\lambda)) = \emptyset$ . Let  $\lambda_0 \in \omega$ ,  $\omega_0 = \{\lambda \in \mathbb{C}; |\lambda - \lambda_0| \leq r_0\} \subset \omega$  and  $\lambda, \mu \in \text{Int}\omega_0$ . By integrating the identity  $(\alpha)$  we find

$$(\mu I - T_1) \frac{1}{2\pi i} \int_{|\xi - \lambda_0| = r_0} \frac{g_\xi(\mu)}{\xi - \lambda} d\xi = \frac{1}{2\pi i} \int_{|\xi - \lambda_0| = r_0} \frac{f(\xi)}{\xi - \lambda} d\xi = f(\lambda)$$

$$(\mu \rightarrow \frac{1}{2\pi i} \int_{|\xi - \lambda_0| = r_0} \frac{g_\xi(\mu)}{\xi - \lambda} d\xi \text{ is analytic on } \omega_0).$$

We obviously have  $\text{Int}\omega_0 = \{\mu \in \mathbb{C}; |\mu - \lambda_0| < r_0\} \subset \delta_{T_1}(f(\lambda))$ , so  $\{\lambda\} \subset \delta_{T_1}(f(\lambda))$ . It results that  $\gamma_{T_1}(f(\lambda)) \subset \mathbb{C} \setminus \{\lambda\}$ , therefore  $\gamma_{T_1}(f(\lambda)) \subset \{\lambda\} \cap \mathbb{C} \setminus \{\lambda\} = \emptyset$ . Then we have  $f(\lambda) \equiv 0$  on  $\omega$ , so  $\Omega_{T_1} \subset \Omega_{T_2}$ . In a similar way, we prove that  $\Omega_{T_2} \subset \Omega_{T_1}$ , hence  $S_{T_1} = S_{T_2}$ . ■

**Theorem III.2.** Let  $T_1, T_2 \in \mathbf{B}(X)$ . If  $T_1$  is  $S$ -decomposable and  $T_1 \sim T_2$ , then  $T_2$  is also  $S$ -decomposable and

$$X_{T_1}(F) = X_{T_2}(F),$$

for every closed  $F \subset \mathbb{C}, F \supset S$ .

*Proof:* According to the previous propositions, we have  $S_{T_1} = S_{T_2}$ ,  $\gamma_{T_1}(x) = \gamma_{T_2}(x)$ ,  $\sigma_{T_1}(x) = \sigma_{T_2}(x)$ , for any  $x \in X$ ,  $X_{T_1}(F) = X_{T_2}(F)$ , for any closed  $F \subset \mathbb{C}, F \supset S$ . Therefore  $T_2$  also verifies conditions  $\alpha_S$  and  $\beta_S$ . Then  $T_2$  is  $S$ -decomposable. ■

**Proposition III.3.** Let  $T \in \mathbf{B}(X)$  and let  $\sigma$  be a separated part of  $\sigma(T)$ . Let

$$E(\sigma, T) = \frac{1}{2\pi i} \int_{\Gamma} R(\lambda, T) d\lambda$$

be the spectral projection corresponding to  $\sigma$  ([18], VII p.3) (where  $\Gamma$  is a system of curves containing in  $\rho(T)$  and surrounding  $\sigma$ ). Then  $E(\sigma, T)X$  is a spectral maximal space of  $T$  and  $\sigma(T|E(\sigma, T)X) = \sigma$ .

*Proof:* The proof is presented in 1.3.10., [23]. ■

**Proposition III.4.** Let  $T \in \mathbf{B}(X)$  be  $S$ -decomposable and let  $F$  be a closed set,  $F \subset \mathbb{C}, F \cap S = \emptyset$ . Then the space  $Y_F$  from the equality

$$X_T(S \cup F) = X_T(S) \oplus Y_F$$

given by

$$Y_F = E(F, T|X_T(S \cup F))X_T(S \cup F)$$

is spectral maximal space of  $T$  and  $\sigma(T|Y_F) \subset F$ , where  $E(F, T|X_T(S \cup F))$  is one of the previous proposition.

*Proof:* Because  $X_T(S \cup F)$  is a spectral maximal space of  $T$  and  $Y_F$ , according to previous proposition, it is a spectral maximal space of  $T|X_T(S \cup F)$  and it results that  $Y_F$  is spectral maximal space of  $T$ . ■

**Proposition III.5.** Let  $T_1, T_2 \in \mathbf{B}(X)$  be two  $S$ -decomposable operators enjoying the same spectral maximal spaces,  $X_{T_1}(F) = X_{T_2}(F)$ , for any  $F \supset S$  closed. Then the spectra of  $T_1$  and  $T_2$  are equal,  $\sigma(T_1) = \sigma(T_2)$ .

*Proof:*  $S_{T_1} \subset S, S_{T_2} \subset S, X_{T_1}(\sigma(T_1)) = X = X_{T_2}(\sigma(T_2))$ , so we have:

$$\begin{aligned} \sigma(T_1) &= \sigma(T_1|X) = \sigma(T_1|X_{T_2}(\sigma(T_2))) \\ &= \sigma(T_1|X_{T_1}(\sigma(T_2))) \subset \sigma(T_2) \\ \sigma(T_2) &= \sigma(T_2|X) = \sigma(T_2|X_{T_1}(\sigma(T_1))) \\ &= \sigma(T_2|X_{T_2}(\sigma(T_1))) \subset \sigma(T_1). \end{aligned}$$

**Proposition III.6.** An operator  $T \in \mathbf{B}(X)$  is strongly  $S$ -decomposable if and only if  $T|Y$  is strongly  $S_1$ -decomposable for any spectral maximal space  $Y$  of  $T$ , where  $S_1 = S \cap \sigma(T|Y)$ . Particularly, if  $\sigma(T|Y) \cap S = \emptyset$ , then  $T|Y$  is strongly decomposable (see 2.6.3., 2.6.4., 2.6.5., [12]).

**Definition III.2.** Let  $T \in \mathbf{B}(X)$  and let  $\sigma \subset \sigma(T)$  be a compact set.  $\sigma$  is a set-spectrum for  $T$  if there is an invariant subspace  $Y$  to  $T$  such that  $\sigma(T|Y) = \sigma$ .

**Proposition III.7.** Let  $T \in \mathbf{B}(X)$  be  $S$ -decomposable and let  $\sigma \subset \sigma(T)$  such that  $\sigma = \overline{\text{Int}\sigma}$  (in the topology of  $\sigma(T)$ ), with  $\sigma \cap S = \emptyset$  or  $\sigma \supset S$ . Then  $\sigma$  and  $\sigma' = \sigma(T) \setminus \sigma$  are sets-spectrum for  $T$  and

$$\begin{aligned} \sigma(T|Y_\sigma) &= \sigma, \quad \sigma(T|X_T(\sigma')) = \sigma' \text{ or} \\ \sigma(T|Y_{\sigma'}) &= \sigma', \quad \sigma(T|X_T(\sigma)) = \sigma \end{aligned}$$

$(X_T(S \cup \sigma) = X_T(S) \oplus Y_\sigma$  or  $X_T(S \cup \sigma') = X_T(S) \oplus Y_{\sigma'}$ ,  $\sigma' \cap S = \emptyset$ ).

*Proof:* The proof of these assertions is similar to the proof from the case of decomposable operators ([12], Proposition 1.3.2.). ■

**Proposition III.8.** According to the previous proposition, it results that an operator  $T \in \mathbf{B}(X)$  is  $S$ -decomposable if and only if there is a system  $Y_S \cup \{Y_i\}_{i=1}^n$  of spectral maximal spaces of  $T$  such that

$$\sigma(T|Y_S) = \overline{G}_S, \quad \sigma(T|Y_i) = \overline{G}_i \quad (i = 1, 2, \dots, n),$$

$$X = Y_S + Y_1 + Y_2 + \dots + Y_n,$$

for any open finite  $S$ -covering  $G_S \cup \{G_i\}_{i=1}^n$  of  $\sigma(T)$ , where  $G_i, G_S \subset \sigma(T)$  are open ( $i = 1, 2, \dots, n$ ).

*Proof:* Indeed, if  $G'_S \cup \{G'_i\}_{i=1}^n$  is a finite open  $S$ -covering of  $\sigma(T)$  and  $Y'_S \cup \{Y'_i\}_{i=1}^n$  is a corresponding system of spectral maximal spaces of  $T$  such that

$$\sigma(T|Y'_S) \subset G'_S, \quad \sigma(T|Y'_i) \subset G'_i \quad (i = 1, 2, \dots, n),$$

$$X = Y'_S + Y'_1 + Y'_2 + \dots + Y'_n,$$

then

$$\overline{G}_S = \overline{G'_S \cap \sigma(T)}, \quad \overline{G}_i = \overline{G'_i \cap \sigma(T)} \quad (i = 1, 2, \dots, n)$$

are sets-spectrum for  $T$  and

$$Y_S = X_T(\overline{G}_S), \quad X_T(S \cup \overline{G}_i) = X_T(S) + Y_{\overline{G}_i}$$

$Y_S, Y_{\overline{G}_i} = Y_i$  being spectral maximal spaces of  $T$  with  $\sigma(T|Y_S) = \overline{G}_S, \sigma(T|Y_i) = \overline{G}_i$ .

But

$$\sigma(T|Y'_S) \subset G'_S \cap \sigma(T) \subset \overline{G}_S = \sigma(T|Y_S)$$

$$\sigma(T|Y'_i) \subset G'_i \cap \sigma(T) \subset \overline{G}_i = \sigma(T|Y_i) \quad (i = 1, 2, \dots, n)$$

hence  $Y'_S \subseteq Y_S, Y'_i \subseteq Y_i$  ( $i = 1, 2, \dots, n$ ) and  $X = Y'_S + Y'_1 + Y'_2 + \dots + Y'_n \subseteq Y_S + Y_1 + Y_2 + \dots + Y_n = X$ . Conversely is obvious. ■

For the case of decomposable operators, according to Theorem II.3 and Consequence II.1, two operators  $T_1, T_2 \in \mathbf{B}(X)$  are spectral equivalent,  $T_1 \sim T_2$ , if and only if the spectral maximal spaces  $X_{T_1}(F), X_{T_2}(F)$  are equal, for any  $F \subset \mathbb{C}$  closed. This fact seems not be true in the case of  $S$ -decomposable operators; according to Theorem III.2, the spectral equivalence transfers the property of  $S$ -decomposability from one operator to another and the equality of the spectral spaces,  $X_{T_1}(F) = X_{T_2}(F)$ ; but conversely, the equality of the spectral spaces does not really involve the spectral equivalence. Using direct sums composed by one decomposable operator and two operators which are not spectral equivalent, it can be created several examples in this sense.

Because we want also to fit these cases into a coherent theory, let us impose the concept of  $S$ -spectral equivalence (residually spectral equivalence or spectral equivalence modulo  $S$ ).

**Definition III.3.** Let  $T_1, T_2 \in \mathbf{B}(X)$  be  $S$ -decomposable with  $\sigma(T_1) = \sigma(T_2)$ . We say that  $T_1, T_2$  are  $S$ -spectral equivalent if for any spectral maximal space  $Y$  of  $T_1$  (or  $T_2$ ), with  $\sigma(T_1|Y) \cap S = \emptyset$  (or  $\sigma(T_2|Y) \cap S = \emptyset$ ), the restrictions  $T_1|Y$  and  $T_2|Y$  are spectral equivalent.

**Theorem III.3.** Let  $T_1, T_2 \in \mathbf{B}(X)$  be strongly  $S$ -decomposable and  $X_{T_1}(F) = X_{T_2}(F)$ , for any  $F \supset S$  closed. Then  $T_1$  and  $T_2$  are  $S$ -spectral equivalent.

*Proof:* According to Proposition III.5, because the spectral spaces  $X_{T_1}(F), X_{T_2}(F)$  are equal, for any  $F \supset S$  closed, it results that  $\sigma(T_1) = \sigma(T_2)$ .

We observe that any spectral maximal space  $Y$  of  $T_1$  with the spectrum  $\sigma(T_1|Y) \cap S = \emptyset$  is given by the relations

$$X_{T_1}(S \cup \sigma) = X_{T_1}(S) + Y_\sigma, \quad Y = Y_\sigma, \sigma = \sigma(T_1|Y)$$

and it is also a spectral maximal space of  $T_2$ .

We recall that a spectral maximal space  $Y_1$  of  $T|Y$ , where  $Y$  is spectral maximal space of  $T$ , is also a spectral maximal space of  $T$ .

$T_1, T_2$  being strongly  $S$ -decomposable, than the restrictions  $T_1|Y$  and  $T_2|Y$  are decomposable and, from Theorem III.2 (see also Preliminaries and Theorem II.3), are also spectral equivalent, hence  $T_1$  and  $T_2$  are  $S$ -spectral equivalent. ■

**Corollary III.1.** Let  $T_1, T_2 \in \mathbf{B}(X)$ . If  $T_1$  is strongly  $S$ -decomposable and  $T_1$  is spectral equivalent with  $T_2$ , then  $T_2$  is also strongly  $S$ -decomposable.

*Proof:* According to Theorem III.2,  $T_2$  is  $S$ -decomposable and the spectral maximal spaces  $X_{T_1}(F), X_{T_2}(F)$  are equal, with  $F \supset S$  closed; it follows that any spectral maximal space  $Y$  of  $T_1$ , with  $\sigma(T_1|Y) \cap S = \emptyset$ , is also a spectral maximal space of  $T_2$  (see Proposition III.4)  $(X_{T_1}(S \cup \sigma(T_1|Y)) = X_{T_2}(S \cup \sigma(T_1|Y)) = X_{T_1}(S) + Y = X_{T_2}(S) + Y)$ .

Because  $T_1|Y$  is decomposable (Proposition III.6) and  $T_1|Y$  is spectral equivalent with  $T_2|Y$ , according to Theorem III.2, it results that  $T_2|Y$  is  $S$ -decomposable, hence  $T_2$  is strongly  $S$ -decomposable. ■

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